



Department of
Environmental
Conservation

Non-Agricultural Nonpoint Source and Municipal Separate Storm Sewer System (MS4) Planning Grant (NPG)

2026 PROGRAM OVERVIEW

FUNDING AVAILABLE: UP TO \$3 MILLION

Kathy Hochul, Governor | Amanda Lefton, Commissioner

Available through the
Consolidated Funding Application (CFA):
<https://apps.cio.ny.gov/apps/cfa/index.cfm>

Applications Due: 4:00pm ET, July 31, 2026

Application Period Opens: June 1, 2026

Webinar: June 8, 2026

Question & Answer Period Ends: July 10, 2026

Applications Due: July 31, 2026

Award(s) Announced By (anticipated): December 2026

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(518) 402-8179

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GRANT DESCRIPTION

The New York State Department of Environmental Conservation (DEC) will offer grants to local governments and Soil and Water Conservation Districts to help pay for the initial planning of non-agricultural nonpoint source water quality improvement projects. The program aims to prepare nonpoint source projects for construction and application for implementation funding. DEC will also offer grants to regulated MS4 Operators to complete comprehensive stormwater system maps and stormwater management program planning.

Those seeking planning grants to support projects to upgrade, repair or replace elements of a wastewater treatment and/or collection system, or the construction of such system for an area with failing onsite septic systems, are referred to the New York State DEC/EFC Wastewater Infrastructure Engineering Planning Grant Program.

FUNDING AVAILABLE

\$3 million of funding is available for the Non-Agricultural Nonpoint Source Planning and MS4 Mapping Grant (NPG). At least \$1.5 million in funding is available for Nonpoint Source Planning Reports and at least \$1.5 million in funding is available for MS4 Mapping and MS4 Stormwater Management Program Planning. Funding for this grant opportunity is provided from the New York State Environmental Protection Fund.

PROPOSED PROJECT TIMEFRAMES

All projects must have defined objectives, tasks, and deliverables accounted for in performance measures that can be completed and invoiced within a three-year contract period/term, June 1, 2026 through May 31, 2029. Costs outside of the contract period/term are ineligible. The State of New York Contract for Grants must be fully approved by DEC, and if applicable, approved by the Attorney General and the State Comptroller. Time extensions beyond the contract term end date will be determined by DEC based upon written justification from the applicant. Applicants should not submit an application if they do not anticipate their project can be completed within the specified contract term.

QUESTION AND ANSWER PERIOD

For grant-specific questions, contact the Division of Water Grants Team at the New York State Department of Environmental Conservation (DEC), Division of Water (DOW), 625 Broadway, Albany, NY 12233, 518-402-8179 or dowgrants@dec.ny.gov. The question-and-answer period will run from the Consolidated Funding Application (CFA) opening date of June 1, 2026 through July 10, 2026. Questions and answers will be posted to <https://dec.ny.gov/get-involved/grant-applications/non-agricultural-nonpoint-source-planning-ms4-mapping-grant>.

APPLICATION LIMITS

- Applicants may only apply for one project type (Nonpoint Source Planning Reports, MS4 Mapping, or MS4 Stormwater Management Program Planning) per individual application.
- Applicants are limited to five applications per round for Nonpoint Source Planning reports.
- Applicants are limited to one application per round for MS4 mapping.
- Applicants are limited to one application per round for MS4 Stormwater Management Program Planning.
- For Nonpoint Source Planning Reports, each application must fit within a single eligible report category.
- If an applicant receives more than one award, DEC may combine the projects into a single contract.
- Applicants that have received funding in a previous round will not receive additional funding in this or a future round for the same or partially overlapping scope of work.
- Applications for MS4 Mapping from a regulated MS4 Operator with an open NPG contract for MS4 Mapping will be deemed ineligible.
- Applications for MS4 Mapping from Soil and Water Conservations Districts for municipalities that are currently covered under the scope of work of an existing, open NPG contract for MS4 Mapping will be deemed ineligible. Soil and Water Conservation Districts may have multiple open NPG contracts for MS4 Mapping with unique scopes of work.

MATCH REQUIREMENT AND EXPENDITURES

- Grant funds are available for up to 90% of the application budget. Applicants must provide the remaining 10% in match funds. Additional information regarding eligible forms of match (eligible costs) is provided under each project type.
- Match for NPG is a percentage of the **award amount**, not the total project cost.
- Match is the portion of project expenditures not paid for with grant funds. Match can be from local, in-kind contributions, or federal grants/funding. Ineligible match sources include state grants/funding.
- Local sources can include funding from other non-state and/or non-federal grant sources or from project partners. In-Kind contributions are non-cash donations provided by non-state and/or non-federal third parties, which can include equipment, supplies, services, and other expendable property. Matching share contributions must support the objectives outlined in the work plan.
- Only eligible NPG costs/activities may be used for match.
- Applications that do not show sufficient match will have their potential award amounts decreased accordingly or may be deemed ineligible.

Match Formula: Requested Award Amount x Required Match Percentage = Required Match Amount

Match Calculation Example 1:

Project type: Nonpoint Source Planning Report
 Required match percentage: 10% of award amount
 Requested award amount: \$50,000
 $\$50,000 \times 0.10 = \$5,000$
 Applicant is required to provide match of \$5,000
 Total costs to show in NPG budget: \$50,000 + \$5,000 = \$55,000

Match Calculation Example 2:

Project type: MS4 Mapping (Collaborative)
 Required match percentage: 10% of award amount
 Requested award amount: \$400,000
 $\$400,000 \times 0.10 = \$40,000$
 Applicant is required to provide match of \$40,000
 Total costs to show in NPG budget: \$400,000 + \$40,000 = \$440,000

PROJECT TYPES SUMMARY TABLE

Funding is available for the following project types (see project type sections for all information):

Project Type	Maximum Award	Required Match	Eligible Applicants ¹	Required Attachments
Nonpoint Source Planning Reports	\$50,000 to \$75,000 depending on project subtype	10% of award amount	Municipalities ² Soil and Water Conservation Districts (excluding Decentralized Municipal Wastewater Treatment Facilities for Failing On-	Completed NPG Budget Worksheet Map(s) with the project area clearly identified Floodplain map (if applicable) Sexual Harassment Prevention Certification Form Executive Order 16 Form

¹ The applicant's name must exactly match the name of the eligible entity registered in the NYS Statewide Financial System Grants Management System in order to execute a contract. It may not be the name of an individual.

² For the purposes of this grant, "municipality" means a local public authority or public benefit corporation, a county, city, town, village, school district, supervisory district, district corporation, improvement district within a county, city, town or village, or Indian nation or tribe recognized by the state or the United States with a reservation wholly or partly within the boundaries of New York State, or any combination thereof.

Project Type	Maximum Award	Required Match	Eligible Applicants ¹	Required Attachments
			Site Treatment Systems)	Gender-Based Violence and the Workplace Certification Form Dam Safety applicants must attach a current Annual Certification, in conformance with 6 NYCRR Part 673.8 on file with the Department (due by January 31 every year).
MS4 Mapping	\$75,000 per municipality or \$400,000 per collaborative	10% of award amount	Municipalities ³ regulated by the MS4 General Permit ⁴ Soil and Water Conservation Districts on behalf of regulated MS4 Operators	Completed NPG Budget Worksheet Map(s) with the project area clearly identified Sexual Harassment Prevention Certification Form Executive Order 16 Form Gender-Based Violence and the Workplace Certification Form Comprehensive Mapping Worksheet demonstrating level of mapping complete at time of application. Applicants applying as a collaborative of cooperating MS4 Operators should submit a Comprehensive Mapping Worksheet for each MS4 Operator.

³ For the purposes of this grant, "municipality" means a local public authority or public benefit corporation, a county, city, town, village, school district, supervisory district, district corporation, improvement district within a county, city, town or village, or Indian nation or tribe recognized by the state or the United States with a reservation wholly or partly within the boundaries of New York State, or any combination thereof.

⁴ 40 CFR 122.26(b)(16)(i), Small municipal separate storm sewer system means all separate storm sewers that are owned or operated by the United States, a State, city, town, borough, county parish, district, association, or other public body (created by or pursuant to State law) having jurisdiction over disposal of sewage, industrial wastes, storm water, or other wastes, including special districts under State law such as a sewer district, flood control district or drainage district, or similar entity, or an Indian tribe or an authorized Indian tribal organization, or a designated and approved management agency under section 208 of the CWA that are discharges to waters of the United States.

Project Type	Maximum Award	Required Match	Eligible Applicants ¹	Required Attachments
MS4 Stormwater Management Program Planning	\$50,000	10%	Municipalities ⁵ regulated by the MS4 General Permit ⁶ Soil and Water Conservation Districts on behalf of regulated MS4 Operators	Completed NPG Budget Worksheet Map(s) with the project area clearly identified Sexual Harassment Prevention Certification Form Executive Order 16 Form Gender-Based Violence and the Workplace Certification Form MS4 Stormwater Management Program (SWMP) Plan Components Worksheet demonstrating level of plan development at the time of application.

APPLICATION EVALUATION

All applications will be reviewed and scored by a review team in accordance with the evaluation and scoring criteria contained in this RFA. Applicants are strongly encouraged to read and address the Scoring Criteria for the project type in the process of developing an application. Knowledge of the scoring criteria is valuable for designing and proposing a relevant and quality project. Scoring consists of:

Step 1: Application and Project Eligibility Determination (Pass/Fail Criteria)

Applications are evaluated for eligibility against the following criteria:

- Applicant must be an eligible applicant type;
- Projects must be for an eligible project type;
- Projects must be for planning or mapping; projects seeking funds for implementation will be deemed ineligible;

⁵ For the purposes of this grant, "municipality" means a local public authority or public benefit corporation, a county, city, town, village, school district, supervisory district, district corporation, improvement district within a county, city, town or village, or Indian nation or tribe recognized by the state or the United States with a reservation wholly or partly within the boundaries of New York State, or any combination thereof.

⁶ 40 CFR 122.26(b)(16)(i), Small municipal separate storm sewer system means all separate storm sewers that are owned or operated by the United States, a State, city, town, borough, county parish, district, association, or other public body (created by or pursuant to State law) having jurisdiction over disposal of sewage, industrial wastes, storm water, or other wastes, including special districts under State law such as a sewer district, flood control district or drainage district, or similar entity, or an Indian tribe or an authorized Indian tribal organization, or a designated and approved management agency under section 208 of the CWA that are discharges to waters of the United States.

- Applications must include all required attachments at the time of submission within the CFA system (**view the section for your project type for a full list of required attachments**). Attachments will not be accepted outside of the CFA system;
- Projects must meet the requirements for that project type or subtype;
- Applicants must submit the current NPG Budget Worksheet; applications that attach an outdated version of the budget form will be deemed ineligible.
- Application budgets must show match and an eligible match source; applications that do not show match, match source, or only use ineligible match sources will be deemed ineligible;
- Applications must be seeking funds for costs incurred after June 1, 2026;
- Duplicate applications will be reviewed based on submission timestamp. The application with the latest timestamp will be reviewed and all other duplicate applications will be deemed ineligible;
- Projects that have received funding in a previous round for the same or partially overlapping scope of work will be deemed ineligible. Phased projects may be considered, provided that the applicant sufficiently describes how the planned scope of work is distinctly different from the previous phase of the project. Applications that do not sufficiently describe how the planned scope of work is distinctly different from the previous phase of the project will be deemed ineligible.
- Applicants and applications must meet all applicable eligibility criteria included in the Program Overview.

Step 2: Project Evaluation, Scoring and Selection

If your application meets all eligibility pass/fail criteria, it will be further evaluated and scored by a review team in accordance with the Scoring Criteria contained in this RFA. All eligible applications will be individually evaluated by multiple review team members. Projects will receive a final score and be selected for grant funding from the highest down to the lowest ranked scores. Applications that fail to meet the minimum scoring criteria as detailed for each project type in this Program Overview will be deemed ineligible. **An application must receive a minimum score of at least 40 to be eligible for funding.** In addition, applications that receive zero points in certain scoring criteria as indicated in the Scoring Criteria table for that project type may also be deemed ineligible.

FLOOD RESILIENCY REQUIREMENTS

DEC is committed to improving community resiliency to extreme weather events that result in flooding. Projects that promote flood risk reduction and enhanced flood resiliency, or both, will receive points in the evaluation of an application where indicated.

The following application eligibility requirements apply to all project types **except**:

- Nonpoint Source In-Waterbody Controls for Nutrients
- Nonpoint Source Bathing Beach Restoration
- MS4 Mapping
- MS4 Stormwater Management Program Planning

Eligibility requirements:

If the project is wholly or partially within a 0.2% (500 year) or 1% (100 year) annual chance of occurrence floodplain,⁷ submit with the application a floodplain map with the location of the project indicated. Floodplain maps cannot be imbedded within another required attachment. If a floodplain map is not provided with the application or does not clearly depict the project location in relation to the floodplain or if not enough information is provided in the application to determine that the work proposed is occurring outside of the floodplain, DEC reserves the right to deem the application ineligible.

If the project is awarded funding and is within a 0.2% or 1% floodplain, the following must be included in the planning and design of the project, if applicable:

- A site plan with the contours indicating the Special Flood Hazard Area (1% floodplain), Coastal High Hazard Area, or 0.2% floodplain
- Location of and elevation of non-critical and critical equipment
- Certification of floodproofing
- A floodway analysis with a no-rise certificate if the project is within a regulated floodway.
- Fulfillment of Federal Emergency Management Agency (FEMA National Flood Insurance Program Special Flood Hazard Area process requirements, where applicable (<https://dec.ny.gov/environmental-protection/water/water-quantity/dam-safety-coastal-flood-protection/floodplain-management>)).

Guidance and information for meeting this requirement is on DEC's NPG webpage: <https://dec.ny.gov/get-involved/grant-applications/non-agricultural-nonpoint-source-planning-ms4-mapping-grant>

CLIMATE RESILIENCY SCORING CRITERIA

DEC is committed to combatting climate change and mitigating its impacts that are being felt across New York State. Projects in municipalities that are proactively addressing climate change resilience and adaptation will receive points in the evaluation of an application where indicated.

To qualify for climate resiliency points, the project must be within or serving a current certified silver or bronze Climate Smart Community or a community that is a registered Climate Smart Community and has completed specific actions as identified in the scoring criteria.

ENVIRONMENTAL JUSTICE AND DISADVANTAGED COMMUNITIES SCORING CRITERIA

DEC is committed to underserved and vulnerable populations, and that may be burdened by negative environmental consequences. Environmental Justice (EJ) is defined by DEC as the fair treatment and meaningful involvement of all people, regardless of race, color, or income, with respect to the development, implementation and enforcement of environmental laws, regulations, and policies. Potential EJ Areas (PEJA) are U.S. Census block groups of 250 to 500 households each that, in the Census, had populations that

⁷ Floodplain map resources: <https://www.fema.gov/flood-maps>

met or exceeded at least one of the following statistical thresholds: at least 52.42% of the population in an urban area reported themselves to be members of minority groups; or at least 26.28% of the population in a rural area reported themselves to be members of minority groups; or at least 22.82% of the population in an urban or rural area had household incomes below the federal poverty level. Disadvantaged Communities (DAC) are identified by New York's Climate Justice Working Group (CJWG) using indicators that include climate-related risks, environmental burdens, health vulnerabilities, and socio-economic factors.

Projects that will improve water quality within a PEJA or DAC, projects within the HUC 10 watershed of a drinking water source that will improve drinking water quality serving a PEJA or DAC, projects that are within the HUC 10 area and upstream⁸ of a PEJA or DAC and applicant has provided sufficient justification to show a positive impact to water quality in a PEJA or DAC, or projects that protect PEJAs or DACs that are within a dam failure inundation area will receive "PEJA and DAC Benefits" points in the evaluation of an application where indicated. Applicants that are municipalities with a DAC population will receive "Disadvantaged Communities" points based on the concentration of the DAC population served, in the evaluation of an application where indicated.

Maps of PEJA and DAC areas in New York State are available at: <https://dec.ny.gov/get-involved/environmental-justice/gis-tools> and <https://climate.ny.gov/resources/disadvantaged-communities-criteria>, respectively.

To qualify for "PEJA and DAC Benefits" points, your application must include a DEC PEJA and/or DAC map and a summary narrative referencing that map, along with details demonstrating water quality improvement to a PEJA or DAC or to drinking water serving a PEJA or DAC, or protection of a PEJA or DAC area within a dam failure inundation area, i.e., where the benefit will be provided, or the area served by the drinking water source, or the exact street location(s) where the project is to be implemented. To qualify for "Disadvantaged Communities" points, your application must include a DEC DAC map and summary narrative referencing that map and the DAC population served.

APPLICATION RESOURCES FOR ALL PROJECT TYPES

- DECinfo Locator⁹ (most up to date source of WI/PWL segment fact sheets): <https://gisservices.dec.ny.gov/gis/dil/>

⁸ Upstream is defined as in the opposite direction from that in which a waterbody flows based on USGS River Flow Direction Map (<https://www.arcgis.com/apps/mapviewer/index.html?webmap=30859c5ba802474d92e69e1d0e0b972d>).

⁹ For projects impacting surface water, the applicant must provide the correct WI/PWL segment ID and HUC 12 watershed of the closest waterbody the project will impact. To find the closest WI/PWL segment fact sheet, visit the DECinfo Locator at <https://gisservices.dec.ny.gov/gis/dil/>. In the left column of the map, click "DEC Information Layers">"Environmental Quality">"Environmental Monitoring" and check the box for "Waterbody Inventory/Priority Waterbodies List". In the left column, select "Search" and enter the address or project location. Click the plus sign in the top left corner of the map to zoom into the location until the waterbodies are visible on the map. Click on the

- How to read WI/PWL segment assessments:
https://dec.ny.gov/docs/administration_pdf/decinfolocatorhelp.pdf
- Flood Resiliency Requirements for WQIP and NPG Grant Applications Mapping Tutorial: <https://www.youtube.com/watch?si=mRT4wR9QKSHPEHPG&v=MZne-tThzYI&feature=youtu.be>
- Floodplain Map Instructional Guide: <https://dec.ny.gov/sites/default/files/2024-06/floodplainmapinstructions.pdf>
- HUC 12 watersheds map:
<https://nysdec.maps.arcgis.com/apps/webappviewer/index.html?id=a02867dc903f40a2831c904c7eb1b7d8>
- Potential Environmental Justice Area and Disadvantaged Community Mapping Tutorial for WQIP and NPG Applications:
https://www.youtube.com/watch?si=yfT2_a6gR89LyYbk&v=D4z-qUpOJh0&feature=youtu.be
- How to identify and create a map of Potential Environmental Justice Areas and Disadvantaged Communities
https://dec.ny.gov/docs/water_pdf/wqip23dacmapinst.pdf
- Maps of PEJA areas in New York State: <https://dec.ny.gov/get-involved/environmental-justice/gis-tools>.
- Maps of DAC areas in New York State:
<https://climate.ny.gov/resources/disadvantaged-communities-criteria/>

NONPOINT SOURCE PLANNING REPORTS

REQUIREMENTS FOR ALL NONPOINT SOURCE PLANNING REPORTS

- All reports and studies must be prepared by a qualified professional. See table below for list of qualified professional(s) by report type.
- For reports and studies prepared by someone other than a New York State licensed Professional Engineer, applicants must demonstrate in the application that the preparer is a qualified professional through work experience and/or education.
- Final reports and studies, where identified in the table below, must be prepared, stamped, signed, and dated by a New York State licensed Professional Engineer in accordance with New York State Education Law.
- Final reports and studies, where identified in the table below, may be prepared by a New York State licensed Professional Geologist; if prepared by a Professional Geologist, the report or study must be stamped, signed, and dated by the New York State licensed Professional Geologist in accordance with New York State Education Law.

waterbody of interest. A box with the Waterbody Inventory/Priority Waterbodies List information will be displayed, including the waterbody name, segment ID, basin, and description. To access the WI/PWL assessment for that waterbody, click the "Waterbody Assessment Fact Sheet" link in the box.

- Final reports and studies, where identified in the table below, may be prepared by a New York State licensed Landscape Architect; if prepared by a Landscape Architect, the report or study must be stamped, signed, and dated by the New York State licensed Landscape Architect in accordance with New York State Education Law.
- All final reports must include all required elements listed in the Required Outline for that report type. See table below.
- Applications for reports not listed in the Eligible Report Types table below will be deemed ineligible.
- Reports for projects that have already received funding for planning from NPG, the Water Quality Improvement Project (WQIP) program or from another State source will be deemed ineligible.
- Reports for projects specifically identified within a Resilient NY Flood Mitigation Study Report, Local Flood Analysis Report, Stream Management Plan, New York Rising Plan, County All Hazard Mitigation Plan, Army Corps of Engineers flood study, or other comparable flood study AND has been evaluated using hydraulic modeling will be deemed ineligible.

REQUIRED ATTACHMENTS FOR ALL NONPOINT SOURCE PLANNING REPORT APPLICATIONS

- Completed NPG Budget Worksheet¹⁰
- Map(s) with the project area clearly identified. Map must be a 1:24,000 scale USGS or DOT planimetric map, or equivalent to such maps.
- If the project is wholly or partially within a 0.2% (500 year) or 1% (100 year) annual chance of occurrence floodplain¹¹, submit with the application a floodplain map with the location of the project indicated. Floodplain maps cannot be imbedded within another required attachment. Winter Road Maintenance reports should create a floodplain map for where equipment will be stored. If a floodplain map is not provided with the application or does not clearly depict the project location in relation to the floodplain or if not enough information is provided in the application to determine that the work proposed is occurring outside of the floodplain, DEC reserves the right to deem the application ineligible. Floodplain maps do not need to be submitted for in-waterbody control for nutrients or bathing beach restoration applications.
- Completed, signed, and dated (must be dated on or after May 18, 2026) [Sexual Harassment Prevention Certification Form](#)¹²
- Completed, signed, dated (must be dated on or after May 18, 2026) [Executive Order No. 16 Certification Form](#)¹³
- Completed, signed, and dated (must be dated on or after May 18, 2026) [Gender-](#)

¹⁰ NPG Budget Worksheet: https://dec.ny.gov/docs/water_pdf/mgcattb1.pdf

¹¹ Federal Emergency Management Agency Flood Maps: <https://www.fema.gov/flood-maps>

¹² Sexual Harassment Prevention Certification Form: https://dec.ny.gov/docs/water_pdf/wqipshcert.pdf

¹³ Executive Order No. 16 Certification Form: https://extapps.dec.ny.gov/docs/administration_pdf/eo16certification.pdf

Based Violence and the Workplace Certification Form¹⁴

- All required attachments must be complete and are due at the time of application through the CFA system. Attachments will not be accepted after the application deadline or outside of the CFA system.

¹⁴ Gender-Based Violence and the Workplace Certification Form: https://dec.ny.gov/sites/default/files/2026-03/attachg_genderbasedviolenceform.pdf

ELIGIBLE PLANNING REPORT SUBTYPES

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
Decentralized Municipal Wastewater Treatment Facilities for Failing On-Site Treatment Systems	\$50,000	An engineering feasibility study report for municipally-owned decentralized wastewater treatment facilities in areas with failing on-site treatment systems, including the necessary collection and conveyance system.	- The decentralized wastewater treatment facility must consist of a subsurface treatment and disposal system designed in accordance with DEC standards. Collection may take the form of either a septic tank effluent pump (STEP), septic tank effluent gravity (STEG) system, grinder pump or gravity sewer system. - An engineering feasibility study report may include conceptual designs and any necessary percolation tests.	Engineering reports must include all Required Elements listed in the Engineering Report Outline for New York State Wastewater Infrastructure Projects ¹⁵	New York State licensed Professional Engineer
Green Infrastructure	\$50,000	An engineering feasibility study report for projects that: construct green infrastructure to address combined sewer overflows, reduce a pollutant impacting a receiving waterbody, or address a regional water quality issue; or install green infrastructure retrofits designed to capture	- Green Infrastructure practices are limited to bioretention, rain gardens, constructed wetlands, porous pavement, green roofs, downspout disconnection, stormwater street trees, stormwater harvesting and reuse, and stream daylighting. - All Green Infrastructure projects must be designed in accordance with the 2024 New York State Stormwater Design Manual¹⁶ and must go above and beyond the water quality volume treatment	Feasibility studies/engineering reports must include all Required Elements listed in the Green Infrastructure or Stormwater Retrofits Feasibility Study Outline ¹⁷	New York State licensed Professional Engineer

¹⁵ Engineering Report Outline: https://efc.ny.gov/system/files/documents/2026/01/er-outline-ffy2026-rev-1a_clean_2026-01-1495.pdf

¹⁶ 2024 New York State Stormwater Design Manual: https://extapps.dec.ny.gov/fs/projects/24-25DraftCGPDesignManual/Manual.SW.CGP.2024-07-31.Design_Manual_Issued_2024-07-31.pdf

¹⁷ Green Infrastructure and Stormwater Retrofits Feasibility Study Outline: <https://dec.ny.gov/sites/default/files/2024-05/npggireq24.pdf>

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
		and remove the pollutant contributing to a water quality impairment or reduce localized flooding. The feasibility study report is intended for site-specific recommendations for practices to capture and treat stormwater in a localized area. Studies at the municipal or watershed scale are ineligible.	and/or reduction requirements listed in the SPDES Construction General Permit. An engineering feasibility study report must include conceptual designs and infiltration tests, if applicable.		
Stormwater Retrofits	\$50,000	An engineering feasibility study report for projects that: construct stormwater retrofits to reduce a pollutant impacting a receiving waterbody or address a regional water quality issue or reduce localized flooding. The feasibility study report is intended for site-specific recommendations for practices to capture and treat stormwater in a localized area.	- Eligible practices are limited to those identified in Chapters 5 and 6 of the 2024 New York State Stormwater Design Manual. All projects must be designed in accordance with the 2024 New York State Stormwater Design Manual¹⁸. - An engineering feasibility study report must include conceptual designs and infiltration tests (if applicable) and must include load reduction information.	Feasibility studies/engineering reports must include all Required Elements listed in the Green Infrastructure or Stormwater Retrofits Feasibility Study Outline ¹⁹	New York State licensed Professional Engineer

¹⁸ 2024 New York State Stormwater Design Manual: https://extapps.dec.ny.gov/fs/projects/24-25DraftCGPDesignManual/Manual.SW.CGP.2024-07-31.Design_Manual_Issued_2024-07-31.pdf

¹⁹ Green Infrastructure and Stormwater Retrofits Feasibility Study Outline: <https://dec.ny.gov/sites/default/files/2024-05/npggireq24.pdf>

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
		Studies at the municipal or watershed scale are ineligible.			
Streambank/Shoreline Stabilization	\$50,000	An engineering or conceptual design report for qualifying nature-based or “soft engineering” streambank or shoreline stabilization projects to reduce erosion, enhance flood resilience, and improve water quality and riparian/coastal habitats.	- Projects must incorporate natural features and materials alone or in combination with a minimal use of structural components to manage erosion. - Projects may include, but are not limited to, natural buffer zones, “living” sills or breakwaters, beach and dune restoration and/or nourishment, nature-based stabilization techniques such as tree/rootwad revetments, staking, live crib walls, and vegetated geogrids. - For projects requiring a permit, engineering designs must meet the minimum Protection of Waters permit requirements,²⁰ NY’s Coastal Consistency requirements,²¹ or other State or Federal permit requirements, as applicable. - Projects within a regulatory floodway may require a hydrological & hydraulic (H&H) analysis conducted by a professional engineer to	Engineering reports must include all Required Elements listed in the Streambank/Shoreline Stabilization Engineering Design Report Outline ²²	New York State licensed Professional Engineer

²⁰ Protection of Waters Permit Requirements: <https://dec.ny.gov/regulatory/permits-licenses/waterways-coastlines-wetlands/protection-of-waters-program>

²¹ NYS State Coastal Policies: <https://dos.ny.gov/coastal-consistency-review>

²² Streambank/Shoreline Stabilization Engineering Design Report Outline: <https://dec.ny.gov/sites/default/files/2024-05/npgstreamoutline24.pdf>

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
			demonstrate no-rise (0.00 feet) in the base flood elevation, as required under the National Flood Insurance Program.		
Comprehensive Stream Corridor Assessment	\$75,000	A comprehensive stream corridor assessment study to identify areas of erosion across a watershed area.	- Study must be completed for a minimum of a HUC 12 size watershed area and must identify and/or prioritize opportunities for streambank stabilization, riparian buffer restoration, floodplain reconnection and/or culvert replacement and repair. - Flood risk assessment and modeling may be included as part of the comprehensive study.	Assessments studies must meet all the Required Elements in the Comprehensive Streambank Corridor Study Outline ²³	New York State licensed Professional Engineer with 2 years of relevant experience; OR professional hydrologist or New York State licensed Professional Geologist with 2 years of relevant experience; OR bachelor's degree in hydrology or a related field with 2 years of relevant experience; OR associate degree in hydrology or a related field with 5 years of relevant experience
Stream Debris Management Plan	\$50,000	A management plan report to identify areas within a stream basin where sediment and debris build-up	As part of the management plan, practical flood risk reduction projects and strategies must be identified, where possible.	Management Plans must include all Required Elements listed in the Stream Sediment and Debris	Completed Post-Flood Emergency Stream Intervention Training ²⁵

²³ Comprehensive Streambank Corridor Study Outline: <https://dec.ny.gov/sites/default/files/2024-05/compstreamstudy24.pdf>

²⁵ Post-Flood Emergency Stream Intervention Training, as provided by Soil and Water Conservation Districts: <https://www.dec.ny.gov/lands/89755.html>

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
		contribute to flooding risk and collect information necessary to develop recommendations to reduce those risks.		Management Plan Outline ²⁴	OR New York State licensed Professional Engineer
In-waterbody Controls for Nutrients	\$50,000	A feasibility study or engineering report for projects that reduce internal loading of nutrients (mainly phosphorus) within waterbodies. and/or A professional study report that provides justification for use of the best management practice (BMP) recommended by the above feasibility study or engineering report. and/or A written plan report for the long-term operation and maintenance of the BMP recommended by the above professional study or engineering report.	- Eligible practices to address these issues include: hypolimnetic aeration, aeration/destratification systems for polymictic lakes, hypolimnetic withdrawal, and dredging. - For destratification systems, the professional study must identify the waterbody as a polymictic lake. - Feasibility reports for algaecide use are ineligible.	Feasibility studies/engineering reports must include all Required Elements listed in the In-Waterbody for Control of Nutrients Feasibility Study/Engineering Report Outline²⁶	Feasibility Study or Engineering Report – New York State licensed Professional Engineer Professional study report - New York State licensed Professional Engineer; OR certified lake manager;²⁷ OR master's degree in limnology or related field; OR bachelor's degree in limnology or related field with 2 years of relevant experience Long-term operation and

²⁴ Stream Sediment and Debris Management Plan Outline: <https://dec.ny.gov/sites/default/files/2024-05/streamsediment24.pdf>

²⁶ In-Waterbody for Control of Nutrients Feasibility Study/Engineering Report Outline: <https://dec.ny.gov/sites/default/files/2024-05/npginwb24.pdf>

²⁷ Certified by the North American Lake Management Society: <https://www.nalms.org/nalms-certification-program/>

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
					maintenance plan - New York State licensed Professional Engineer
Bathing Beach Restoration	\$50,000	Beach sanitary survey and/or A feasibility study for projects recommended in beach restoration green infrastructure reports or sanitary survey reports.	Projects may include porous pavement, bio-infiltration/bioretention, rain gardens, stormwater tree trenches, beach sand enrichment/nourishment, beach sloping/grading, and/or constructed wetlands.	Beach sanitary surveys must include all Required Elements listed in the Beach Sanitary Survey Study Outline²⁸ Feasibility studies must include all Required Elements listed in the Green Infrastructure or Stormwater Retrofit Feasibility Study Outline²⁹	Beach Sanitary Survey - New York State licensed Professional Engineer with 2 years of relevant experience OR environmental health professional (sanitarian or environmental health technician) with 2 years of relevant experience OR bachelor's degree in environmental health or a related field with 2 years of relevant experience OR associate degree in environmental health or a related field with 5 years of relevant experience.

²⁸ Beach Sanitary Survey Study Outline: <https://dec.ny.gov/sites/default/files/2024-05/beachsurvey24.pdf>

²⁹ Green Infrastructure or Stormwater Retrofit Feasibility Study Outline: <https://dec.ny.gov/sites/default/files/2024-05/npggireq24.pdf>

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
					Engineering Feasibility Study – New York State licensed Professional Engineer
Stream Culvert Repair and Replacement	\$50,000	Stream culvert site assessment report for projects to address erosion caused by inadequately sized or failing culverts. and/or An engineering feasibility study or engineering design report for stream culvert repair or replacement projects to address erosion.	- Stream culvert assessments must utilize the North Atlantic Aquatic Connectivity Collaborative (NAACC) framework to identify aquatic barriers, in addition to identifying erosion issues. - Culvert replacement projects should be designed, at a minimum, to meet New York State Department of Transportation's Highway Design Manual Chapter 8 for culverts, and incorporate elements required by the National Flood Insurance Program's floodway analysis. - Projects within a regulatory floodway may require a hydrological & hydraulic (H&H) analysis conducted by a professional engineer to demonstrate no-rise (0.00 feet) in the base flood elevation, as required under the National Flood Insurance Program.	Site Assessments must include all Required Elements in the Stream Culvert Assessment Report Outline³⁰ Feasibility studies/engineering reports must include all Required Elements listed in the Stream Culvert Repair and Replacement Feasibility Study/Engineering Report Outline³¹	Culvert assessments - Trained and certified by North Aquatic Connectivity Collaborative (NAACC) Engineering Feasibility Study - New York State licensed Professional Engineer
Berm Removal	\$50,000	An engineering feasibility study or	Projects may include (1) removal of side-cast streambed material	Feasibility studies/engineering	New York State licensed

³⁰ Stream Culvert Assessment Report Outline: https://dec.ny.gov/sites/default/files/2026-02/streamculvert_siteassess_outline.pdf

³¹ Stream Culvert Repair and Replacement Feasibility Study/Engineering Report Outline: <https://dec.ny.gov/sites/default/files/2024-05/npgstreamoutline24.pdf>

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
		engineering design report for removal of berms located along streams, which prevent flood flows (i.e., bankfull and greater flood flow events) from accessing the floodplain projects to increase flood resiliency.	that was placed at the top of the streambank; (2) removal of soil, stone, or other fill material placed at the top of the streambank, adjacent to the streambank or within the stream's floodplain, which limits or precludes flood waters from accessing it floodplain, or (3) removal of other types of physical structure(s) that impede floodwater flows into floodplain. • Engineering designs must meet the minimum Protection of Waters permit requirements. • Projects within a regulatory floodway may require a hydrological & hydraulic (H&H) analysis conducted by a professional engineer to demonstrate no-rise (0.00 feet) in the base flood elevation, as required under the National Flood Insurance Program.	reports must include all Required Elements listed in the Berm Removal Engineering Design Report Outline ³²	Professional Engineer
Floodplain Creation/ Restoration/ Reconnection	\$50,000	An engineering design report to increase flood resiliency through the creation, restoration and/or reconnection of stream to its floodplain.	• Floodplain creation/restoration/reconnection projects include but are not limited to the following: (1) the creation of a new natural floodplain where one does not currently exist; (2) the restoration, enhancement, or expansion of an existing floodplain; or (3) the reconnection of a stream	Feasibility studies/engineering reports must include all Required Elements listed in the Floodplain Creation/Restoration/ Reconnection Engineering Design Report Outline ³⁵	New York State licensed Professional Engineer

³² Berm Removal Engineering Design Report Outline: https://dec.ny.gov/docs/water_pdf/npg23berm.pdf

³⁵ Floodplain Creation/Restoration/Reconnection Engineering Design Report Outline: https://dec.ny.gov/docs/water_pdf/npg23floodplain.pdf

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
			to its natural floodplain as a result of stream channel entrenchment. • Engineering designs must meet the minimum Protection of Waters permit requirements.³³ • Projects within a regulatory floodway may require a hydrological & hydraulic (H&H) analysis conducted by a professional engineer to demonstrate no-rise (0.00 feet) in the base flood elevation, as required under the National Flood Insurance Program.³⁴		
Wetland Creation/ Restoration	\$50,000	An engineering design report for wetland creation/restoration projects.	• Wetland creation/restoration projects include but are not limited to the following: (1) creation of a wetland where one does not currently exist; (2) restoration or re-establishment of a former naturally occurring wetland through various ecological restoration techniques (e.g., re-establishment of wetland hydrology through removal of historic fill, under-ground drain tiles, ditching, drainage or diversion structures, other construction that impact surface or subsurface water movement, or excavation of hydric soils to create depressions, control and	Engineering reports must include all Required Elements listed in the Wetland Creation/Restoration Engineering Report Outline ³⁶	New York State licensed Professional Engineer

³³ Protection of Waters Permit Requirements: <https://dec.ny.gov/regulatory/permits-licenses/waterways-coastlines-wetlands/protection-of-waters-program>

³⁴ Floodplain Development And Floodway Guidance: <https://dec.ny.gov/environmental-protection/water/water-quantity/dam-safety-coastal-flood-protection/floodplain-management#Development>

³⁶ Wetland Creation/Restoration Engineering Report Outline: https://dec.ny.gov/docs/water_pdf/npg23wetland.pdf

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
			management of invasive or native plants and re-establishment of native wetland vegetation, etc.); and (3) rehabilitation of a degraded wetland. • The engineering design report must include a detailed and accurate description of the existing conditions and the proposed work, which may include a combination of ecological restoration techniques. • Projects within a regulatory floodway may require a hydrological & hydraulic (H&H) analysis conducted by a professional engineer to demonstrate no-rise (0.00 feet) in the base flood elevation, as required under the National Flood Insurance Program.		
Coastal Storm and Erosion Risk Management	\$50,000	An engineering or conceptual design report for qualifying projects for coastal storm risk management or coastal erosion management projects.	• Coastal storm and erosion risk management reports that propose hardened vertical structures such as seawalls and bulkheads are ineligible. • Non-structural and nature-based measures are preferred to hard structural measures and will be given priority over hard structural measures. • Hard structural measures should only be proposed in situations	Engineering reports must include all Required Elements listed in the Coastal Storm and Erosion Risk Management Outline ³⁷	New York State licensed Professional Engineer

³⁷ Coastal Storm and Erosion Risk Management Outline: https://dec.ny.gov/docs/water_pdf/npg23coastal.pdf

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
			where an alternatives analysis demonstrates that non-structural or nature-based features will not provide adequate risk reduction for a given location. • Guidance on evaluating alternatives can be found in DEC's REDI Coastal Homeowners Handbook. • For projects requiring a permit, engineering designs must meet the minimum Coastal Erosion Management (CEHA) permit requirements, Tidal /Freshwater Wetlands requirements, Protection of Waters permit requirements, NY's Coastal Consistency requirements, or other State or Federal permit requirements, as applicable. • Projects within a regulatory floodway may require a hydrological & hydraulic (H&H) analysis conducted by a professional engineer to demonstrate no-rise (0.00 feet) in the base flood elevation, as required under the National Flood Insurance Program. • Long term project maintenance should be considered during planning and design, and a maintenance plan for the project developed.		

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
Winter Road Maintenance	\$50,000	A management plan report for winter road maintenance to outline proposed road salt reducing practices at the municipal level.	The plan must identify current practices and proposed best management practices to reduce road salt application.	Management plans must include all Required Elements listed in the Winter Road Maintenance Outline ³⁸	Highway professional with 2 years of relevant experience; OR professional hydrologist or New York State licensed Professional Geologist with 2 years of relevant experience; OR bachelor's degree in hydrology or a related field with 2 years of relevant experience; OR associate degree in hydrology or a related field with 5 years of relevant experience
Dam Safety Repair/ Rehabilitation or Removal	\$75,000	An engineering design report for a Class C (high) or Class B (Intermediate) hazard dam. The engineering design report will include the proposed repair or removal alternative that will bring the dam into conformance with	- Each report must be stamped by a NYS licensed Professional Engineer experienced in dam safety work. - Each engineering report will be developed in accordance with the DOW TOGS 3.1.4 - Guidance for Dam Engineering Assessment Reports - Each engineering report will include all model assumptions,	Engineering reports must include all Required Elements listed in the Dam Safety Repair/Rehabilitation and Dam Removal Engineering Design Report Outline ³⁹	New York State licensed Professional Engineer that has prepared at least 3 other dam safety engineering reports, submitted to the DEC Division of Water Dam Safety

³⁸ Winter Road Maintenance Outline: <https://dec.ny.gov/sites/default/files/2024-05/npg24winterroad.pdf>

³⁹ Dam Safety Repair/Rehabilitation and Dam Removal Engineering Design Report Outline: https://dec.ny.gov/docs/water_pdf/npg23dam.pdf

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
		NYSDEC Guidelines for Design of Dams	model input and output data, and executable files. - Each report must include a project schedule. - Dam Safety Repair/Rehabilitation and Dam Removal applicants must submit a current Annual Certification, in conformance with 6 NYCRR Part 673.8 on file with the Department (due by January 31 every year) - Dam Safety applicants must submit an Emergency Action Plan with the completed Dam Safety Repair/Rehabilitation and Dam Removal Engineering Design Report.		section, performed under their supervision for a Class B or C dam in NYS.
Sanitary Survey for Alternative Waste Management	\$50,000	A comprehensive assessment report that identifies failing on-site septic systems and makes recommendations for sewer extension or replacement of individual systems in-kind.	- Surveys must focus on identifying signs of system failure that could contaminate groundwater or surface water. Signs include but are not limited to: observable discharges, odors, back-ups, use of holding tanks, persistent bacteria contamination or excessive nitrates in nearby wells, visible contrast from dye testing. - The final report must make a recommendation for sewer extension or provide justification why sewer extension is not feasible.	Surveys must include all Required Elements listed in the Sanitary Survey for Alternative Waste Management Outline ⁴⁰	New York State licensed Professional Engineer

⁴⁰ Sanitary Survey for Alternative Waste Management Outline: <https://dec.ny.gov/sites/default/files/2025-05/npg25septicsurvey.pdf>

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
Riparian Corridor Restoration and Enhancement	\$50,000	A design report for projects to improve the ecological condition of riparian corridors including stream and river channels (bed and banks) and the associated replanting of riparian buffer vegetation (up to 100 feet from the stream banks on both sides).	• Buffer replanting design must contain a combination of native woody and herbaceous vegetation that includes trees, shrubs, grasses and forbs. • Installation of in-stream/in channel habitat structures, features, and improvements using natural channel design principles including rock or wooden deflectors, cribbing, lunkers, rock vanes, rock piles, boulders, engineered log jams, gravel bars, step pools, etc.; • Restoration or enhancement of natural channel sinuosity; • Installation of fish passage structures; • Restoration or enhancement of riparian buffers through planting or other means of revegetation to provide shading, thermal protection, overhead cover, terrestrial habitat connectivity, and erosion control.	Design reports must include all Required Elements listed in the Riparian Corridor Restoration and Enhancement Outline ⁴¹	New York State licensed Professional Engineer or New York State licensed Professional Landscape Architect with ecological restoration experience OR professional fisheries biologist, wildlife biologist, or restoration ecologist with a master's degree in wildlife biology, fisheries biology, aquatic ecology, restoration ecology or a related field; OR professional fisheries biologist, wildlife biologist, or restoration ecologist with a bachelor's degree in wildlife biology, fisheries biology, aquatic ecology, restoration ecology or a

⁴¹ Riparian Corridor Restoration and Enhancement Outline: <https://dec.ny.gov/sites/default/files/2025-05/npg25riparianbuffer.pdf>

Report Category	Maximum Award Amount	Report Description	Report Requirements	Required Outline	Professional Requirement
					related field with at least 2 years of ecological restoration experience; OR having successfully developed two or more professional restoration plans or reports of similar scope and detail in the last 10 years

ELIGIBLE COSTS

- Funding can be used for the preparation of planning reports, feasibility studies, and supplemental costs as listed by grant category
- Activities to determine the scope of water quality issues, evaluation of alternatives, and the recommendation of an improvement project, including sampling and monitoring associated with the planning studies/reports
- Salary and fringe
- Contractual costs
- Travel
- On-site evaluations
- Any necessary environmental review (e.g., State Environmental Quality Review Act (SEQR), State Historic Preservation Office (SHPO), Coastal Consistency) for the recommended project
- Administrative costs

INELIGIBLE COSTS

- Costs incurred outside the start and end date of the contract
- Post installation / follow-up monitoring
- Construction costs
- Equipment
- Indirect costs (e.g., space/property rent, utilities and other office supplies)
- Legal fees
- Costs incurred outside the start and end date of the contract (no earlier than June 1, 2026)

ADDITIONAL RESOURCES FOR NONPOINT SOURCE PLANNING REPORT APPLICATIONS

- Nonpoint Source Planning Grant Report Outlines: <https://dec.ny.gov/get-involved/grant-applications/non-agricultural-nonpoint-source-planning-ms4-mapping-grant>
- Nonpoint Source Program Guidance and Technical Assistance: <https://dec.ny.gov/environmental-protection/water/water-quality/nps-program/guidance-and-technical-assistance>
- New York State Stormwater Design Manual: https://extapps.dec.ny.gov/fs/projects/2425DraftCGPDesignManual/Manual.SWC.GP.2024-07-31.Design_Manual_Issued_2024-07-31.pdf
- NYS Department of Transportation's Highway Design Manual Chapter 8: <https://www.dot.ny.gov/divisions/engineering/design/dqab/hdm/chapter-8>
- North Atlantic Aquatic Connectivity Project (NAACC): <https://streamcontinuity.org/naacc>
- Maps of New York State Environmental Justice Areas: <https://dec.ny.gov/environmental-protection/environmental-justice/gis-tools>
- Resilient New York Flood Mitigation Reports: <https://dec.ny.gov/environmental-protection/water/water-quantity/resilient-ny>
- Floodplain Development And Floodway Guidance:

<https://dec.ny.gov/environmental-protection/water/water-quantity/dam-safety-coastal-flood-protection/floodplain-management#Development>

- Drinking Water Source Protection Program Plans (DWSP2): <https://dec.ny.gov/environmental-protection/water/water-quality/dwsp2>; contact source.water@dec.ny.gov for information about any completed DWSP2s in your project area.
- Homeowner Program Guidance for Shoreline Management: https://www.dec.ny.gov/docs/water_pdf/redicoastalv11.pdf
- Using Natural Measures to Reduce the Risk of Flooding and Erosion: https://extapps.dec.ny.gov/docs/administration_pdf/crranaturalmeasuresgndc.pdf
- Coastal Erosion Management Permit Program: <https://dec.ny.gov/regulatory/permits-licenses/waterways-coastlines-wetlands/coastal-erosion-management-permit-program>
- Tidal Wetlands Permit Program: <https://dec.ny.gov/regulatory/permits-licenses/waterways-coastlines-wetlands/tidal-wetlands-permit-program>
- Freshwater Wetland Permit Program: <https://dec.ny.gov/regulatory/permits-licenses/waterways-coastlines-wetlands/freshwater-wetlands/application-procedure>
- Dam Safety Program: <https://dec.ny.gov/environmental-protection/water/water-quantity/dam-safety-coastal-flood-protection/dam-safety>
- Guidelines for Design of Dams - https://extapps.dec.ny.gov/docs/water_pdf/damguideli.pdf
- DOW TOGS 3.1.3 - Emergency Action Plans for Dams: https://www.dec.ny.gov/docs/water_pdf/dow313eaps.pdf
- DOW TOGS 3.1.4 Guidance for Dam Engineering Assessments: https://www.dec.ny.gov/docs/water_pdf/damfengarpt.pdf
- Protection of Waters Program and Permit Requirements: <https://dec.ny.gov/regulatory/permits-licenses/waterways-coastlines-wetlands/protection-of-waters-program>
- NYS State Coastal Policies: <https://dos.ny.gov/coastal-consistency-review>

NONPOINT SOURCE PLANNING REPORTS SCORING CRITERIA

Agency Points	Up to 115 agency points are available, as defined below. Applications must receive a minimum of 40 agency points to be eligible for funding. Applications that receive 0 points for Reasonableness of Cost and Experience and Ability will not be funded.			
Performance Measures 30 to 5 points available	30 POINTS: Reports for projects that address a water quality impairment, nonpoint source pollutant of concern or mitigate flooding in a DEC-approved: • Total Maximum Daily Load (TMDL) Implementation Plan • Resilient NY Flood Mitigation Report • HABs Action Plan • Drinking Water Source Protection Plan (DWSP2) • Nine Element Watershed Plan • Green infrastructure feasibility studies for projects that reduce stormwater flow to a combined sewer system • MS4 Permit retrofit requirements • Adirondack Road Salt Reduction Task Force Report⁴² AND benefit an active drinking water supply. OR Reports for projects that propose repairs to a Class B or Class C dam, with spillway capacity or structural stability inadequacies that will lead to project that will bring the dam into conformance with dam safety criteria for said deficiencies.	20 POINTS: Reports for projects that address a water quality impairment, nonpoint source pollutant of concern or mitigate flooding in a DEC-approved: • Total Maximum Daily Load (TMDL) Implementation Plan; • Resilient NY Flood Mitigation Report • HABs Action Plan • Drinking Water Source Protection Plan (DWSP2) • Nine Element Watershed Plan; • Green infrastructure feasibility studies for projects that reduce stormwater flow to a combined sewer system • MS4 Permit retrofit requirements • Adirondack Road Salt Reduction Task Force Report⁴³ OR Reports for projects that propose repairs to a Class B or C dam that does not conform to dam safety criteria. OR Reports for projects that address documented water quality standard exceedances for regulated bathing beaches.	10 POINTS: Reports for projects located in the HUC 12 watershed of a WI/PWL segment that will reduce a pollutant documented in the WI/PWL segment factsheet as the pollutant causing the waterbody's best use(s) to be assessed as "stressed" or "threatened". OR Projects that will improve water quality, reduce erosion or reduce a nonpoint source pollutant AND demonstrate that project will protect high risk critical infrastructure ⁴⁴ OR Reports for projects that support one action in a DEC Watershed Action Agenda (i.e., Great Lakes, Hudson River Estuary, Long Island Watershed, Mohawk River Basin). OR Reports for projects that recommend structural coastal risk or erosion management measures for the protection of at-risk public infrastructure, and where relocation is not feasible.	5 POINTS: Reports for projects located in the HUC 12 watershed of a WI/PWL segment where best uses are unassessed or fully supported. OR Waterbody is not included on the WI/PWL.

⁴² This specific scoring criteria is **not** limited to the Adirondack region, the best practices outlined in the Adirondack Road Salt Reduction Task Force Report are applicable statewide.

⁴³ This specific scoring criteria is **not** limited to the Adirondack region, the best practices outlined in the Adirondack Road Salt Reduction Task Force Report are applicable statewide.

⁴⁴ High risk critical infrastructure is defined as: schools, hospitals, water treatment facilities, nursing homes, principal arterial interstates, or railroads.

	OR Reports for projects that address documented water quality exceedances with known sources for regulated bathing beaches identified in a beach sanitary survey OR Reports for projects that recommend non-structural or nature-based features that provide storm or erosion risk management while also providing ecological benefits.	OR Reports for projects or comprehensive studies located in the HUC 12 watershed of a Waterbody Inventory/Priority Waterbodies List (WI/PWL) segment that will reduce a pollutant documented in the WI/PWL segment factsheet as the pollutant causing the waterbody's best use(s) to be assessed as "impaired" or "precluded" OR Reports for projects that recommend a combination of both nature-based and structural coastal risk or erosion management measures for the protection of at-risk public infrastructure, and where relocation is not feasible.		
Reasonableness of Cost 25 to 0 points available	25 POINTS: Exceptional value for the cost as it applies to both achieving the project objectives and being a fiscally sound project.	15 POINTS: Cost is good as it applies to both achieving the project objectives and being a fiscally sound project.	5 POINTS: Cost is reasonable as it applies to both achieving the project objectives and being a fiscally sound project.	0 POINTS: Cost is not reasonable for this type of project. Applications that receive 0 points for Reasonableness of Cost will not be funded.
Vision 20 to 5 points available	20 POINTS: Reports for projects that are part of a comprehensive program. OR Reports for projects to protect a specific public drinking water supply. OR Reports for projects to improve the water quality of a specific regulated bathing beach.	10 POINTS: Reports for projects that cover multiple project locations. OR Reports for projects that address aquatic habitat connectivity and/or flood resiliency.	5 POINTS: Reports for a single project at one location or not part of a comprehensive program.	

Experience & Ability 10 to 0 points available	10 POINTS: Applicant has shown the ability to complete state funded non-agricultural nonpoint source projects in the past 10 years in a timely manner. OR Applicant has sufficiently shown its ability to complete this non-agricultural nonpoint source project based upon experience with similar non-agricultural nonpoint source projects.		5 POINTS: Applicant has shown the ability to complete a state funded project that was not related to non-agricultural nonpoint source treatment in the past 10 years in a timely manner. OR Applicant has not been awarded a state grant in the past 10 years but appears to have the experience and ability to carry out the project.		0 POINTS: Applicant does not possess the knowledge, skills, and ability to complete the program and does not have prior experience with DEC Division of Water grants in the last 10 years. OR Applicant has prior experience with DEC grants in the last 10 years and did not complete all tasks in a timely manner. Applications that receive 0 points for experience and ability will not be funded.	
Potential Environmental Justice Area (PEJA) and Disadvantaged Communities (DAC) Benefits 10 to 0 points possible	10 POINTS: Project improves water quality or flood resiliency and is within a PEJA or DAC. OR Project is within the HUC 10 watershed and upstream of a drinking water source that will protect the drinking water quality serving a PEJA or DAC. OR PEJA or DAC is within the dam failure inundation area.		5 POINTS: Project improves water quality or flood resiliency and is within the HUC 10 watershed and upstream of a PEJA or DAC.		0 POINTS: Project does not improve water quality or flood resiliency within a PEJA or DAC. OR Project is not within the HUC 10 watershed and not upstream of a drinking water source that will protect the drinking water quality serving a PEJA or DAC. OR Project does not improve water quality and is not within the HUC 10 watershed and not upstream of a PEJA or DAC. OR PEJA or DAC is not within the dam failure inundation area.	
Disadvantaged Communities (DAC) (includes financial hardship) 10 to 0 points possible	10 POINTS: Applicant is a municipality with a DAC population of 81 – 100%. ⁴⁵ See Attachment A.	8 POINTS: Applicant is a municipality with a DAC population of 61 - 80%.	6 POINTS: Applicant is a municipality with a DAC population of 41 - 60%.	4 POINTS: Applicant is a municipality with a DAC population of 20 - 40%	2 POINTS: Applicant is a municipality with a DAC population of 1 - 19%.	0 POINTS: Applicant is not a municipality with a DAC population.

⁴⁵ See Attachment A for specific information the Department has compiled, and is providing, for applicants to identify the percent of DAC populations within NYS municipalities. Additional information on the population and environmental characteristics of DAC areas can be found here: <https://climate.ny.gov/resources/disadvantaged-communities-criteria/>.

Climate Resilience <i>5 to 0 points possible</i>	5 POINTS: Project is located in or serving a certified bronze or silver Climate Smart Community (CSC).	2 POINTS: Project is located or serving a municipality that is a registered CSC and has completed one or more of the following actions: PE7, Climate Vulnerability Assessment; PE7: Climate-resilient Hazard Mitigation Plan; PE7: Watershed-based Flood Mitigation Plan. ⁴⁶	0 POINTS: The project is not located in or serving a bronze or silver certified CSC or the municipality has not completed any of the identified CSC actions.
Flood Resilience <i>5 to 0 points possible</i>	5 POINTS: Project is outside a 0.2% or 1% annual chance of occurrence floodplain. OR Project is within a 0.2% or 1% annual chance of occurrence floodplain AND demonstrates flood resilience that is consistent with the State Flood Risk Management Guidance for Implementation of the Community Risk and Resiliency Act. OR Project is for a dam repair that will result in at least 1-foot of freeboard under the Spillway Design Flood (SDF) or a dam removal.		0 POINTS: Project is within a 0.2% or 1% annual chance of occurrence floodplain and does not demonstrate flood resilience.
Total Maximum Points	115 points		

⁴⁶ Descriptions for Climate Smart Community actions can be found at <https://climatesmart.ny.gov/actions-certification/actions>.

MUNICIPAL SEPARATE STORM SEWER SYSTEM (MS4) MAPPING

REQUIREMENTS FOR ALL MS4 MAPPING

- Applications must include the creation or expansion of mapping in a GIS program.
- Applications from a regulated MS4 Operator with an open NPG contract for MS4 Mapping will be deemed ineligible.
- Applications from Soil and Water Conservations Districts with an open NPG contract for MS4 Mapping for municipalities that are currently covered by an existing contract scope of work will be deemed ineligible.
- All Basic Mapping Elements must be completed **or** included as part of the grant application before funds can be used for items in the Intermediate or Advanced Mapping Elements categories.
- Simultaneous mapping of Basic, Intermediate, and Advanced Mapping Elements is permitted but any unmapped Basic Mapping Elements **must** be included in the application scope of work.
- Information from the Waterbody Inventory/Priority Waterbodies List (WI/PWL) must be included in the maps/deliverables created.
- Final deliverables must include final map product and final summary report. Required elements for the final deliverables are at <https://dec.ny.gov/get-involved/grant-applications/non-agricultural-nonpoint-source-planning-ms4-mapping-grant>.
- The DEC recommends consulting the MS4 General Permit, GP-0-24-001, for permit requirements and the associated compliance timelines. The mapping categories (Basic, Intermediate, and Advanced) do not correlate with permit requirement compliance timeframes. Do not rely on the information in the Comprehensive System Mapping Table to determine compliance with permit requirements. Consult GP-0-24-001 for definitions and criteria for various prioritizations.

REQUIRED ATTACHMENTS FOR ALL MS4 MAPPING APPLICATIONS

- A [Comprehensive Mapping Worksheet](#)⁴⁷ demonstrating mapping needs and the level of mapping completed at the time of application.
- Applications will be considered for proposals on behalf of multiple cooperating MS4 Operators. To be considered as a cooperating⁴⁸ MS4 Operator application, a Comprehensive System Mapping Worksheet for each operator must be submitted with the application. A list of all MS4 Operators cooperating on the project must also be provided in the application. Applications that do not include a complete Comprehensive Mapping Worksheet for each cooperating MS4 Operator may receive a reduced Vision score.
- Only the current version of the Comprehensive Mapping Worksheet will be accepted; applications that attach an outdated version of the worksheet will be

⁴⁷ Comprehensive Mapping Worksheet: <https://dec.ny.gov/sites/default/files/2024-05/mapwrsht2024.pdf>

⁴⁸ Cooperating MS4s are defined as multiple regulated MS4 operators that will share services to complete mapping. Cooperating MS4s can demonstrate this shared service through letters of support, resolution, or other intermunicipal agreement.

deemed ineligible.

- Completed [NPG Budget Worksheet](#)⁴⁹
- Map(s) with the project area clearly identified. Map must be a 1:24,000 scale USGS or DOT planimetric map, or equivalent to such maps.
- Completed, signed, and dated (must be dated on or after May 18, 2026) [Sexual Harassment Prevention Certification Form](#)⁵⁰
- Completed, signed, and dated (must be dated on or after May 18, 2026) [Executive Order No. 16 Certification Form](#)⁵¹
- Completed, signed, and dated (must be dated on or after May 18, 2026) Gender-Based Violence and the Workplace Certification Form⁵²
- All required attachments must be complete and are due at the time of application through the CFA system. Attachments will not be accepted after the application deadline or outside of the CFA system.

ELIGIBLE COSTS

- Salary and fringe benefits
- Contractual costs
- Travel
- Administrative costs

INELIGIBLE COSTS

- Equipment (e.g., hardware)
- Software or GIS licenses
- Development of materials already available through the cooperative stormwater group
- Operating Expenses
- Indirect costs (e.g., space/property rent, utilities, and other office supplies)
- Legal fees
- Costs incurred outside the start and end date of the contract (no earlier than June 1, 2026)

ADDITIONAL RESOURCES FOR MS4 MAPPLING APPLICATIONS

- Additional information on Urbanized Areas:
<http://www.dec.ny.gov/chemical/92258.html>.

⁴⁹ NPG Budget Worksheet: https://dec.ny.gov/docs/water_pdf/mgcattb1.pdf

⁵⁰ Sexual Harassment Prevention Certification Form: https://dec.ny.gov/docs/water_pdf/wqipshcert.pdf
<https://dec.ny.gov/get-involved/grant-applications/non-agricultural-nonpoint-source-planning-ms4-mapping-grant>

⁵¹ Executive Order No. 16 Certification Form:
https://extapps.dec.ny.gov/docs/administration_pdf/eo16certification.pdf

⁵² Gender-Based Violence and the Workplace Certification Form: https://dec.ny.gov/sites/default/files/2026-03/attachg_genderbasedviolenceform.pdf

MS4 MAPPING SCORING CRITERIA

Agency Points	Up to 115 agency points are available, as defined below. Applications must receive a minimum of 30 agency points to be eligible for funding. Applications that receive 0 points for Reasonableness of Cost or Experience and Ability will not be funded.			
Performance Measures <i>20 to 5 points possible</i>	20 POINTS: Project will impact a watershed that has a DEC-approved watershed implementation plan (i.e., TMDL, Nine Element Watershed Plan, or DEC HABs Action Plan) for a pollutant ⁵³ that may be present in stormwater.	15 POINTS: Project will impact a WI/PWL segment where best use(s) in the WI/PWL segment fact sheet are assessed as “precluded” or “impaired” for a pollutant that may be present in stormwater.	10 POINTS: Project will impact a WI/PWL segment where best use(s) in the WI/PWL segment fact sheet are assessed as “stressed” or “threatened” for a pollutant that may be present in stormwater.	5 POINTS: Project will impact a WI/PWL segment that is “unassessed” or use(s) are “fully supported” or “impaired,” “precluded,” “stressed,” or “threatened” due to non-stormwater pollutants.
Mapping Status <i>10 to 2 points possible</i>	10 POINTS: Project will include initial mapping of Basic Elements (red column).	7 POINTS: Project will include initial mapping of Intermediate Elements (yellow column).	5 POINTS: Project will include updates to existing mapping of Basic or Intermediate Elements (red or yellow column); OR Project will include initial mapping of Advanced Elements (green column).	2 POINTS: Project will include updates to existing mapping of Advanced Elements (green column).
Vision <i>20 to 2 points possible</i>	20 POINTS: Applicant has letters of support, resolution, or other intermunicipal agreements from all cooperating MS4 Operators. AND Applicant has submitted all Comprehensive Mapping Worksheets for each MS4 operator listed in the application.	10 POINTS: Applicant has submitted letters of support, resolution, or other intermunicipal agreements from 50% or more of the cooperating MS4 Operators OR Applicant is a single municipality that has properly justified why mapping will not be completed as a collaborative.	5 POINTS: Applicant has letters of support, resolution, or other intermunicipal agreements from less than 50% of cooperating MS4 Operators. OR Applicant has submitted less than 50% of the Comprehensive Mapping Worksheets for each MS4 operator listed in the application.	2 POINTS: Applicant has no letters of support, resolution, or other intermunicipal agreements from cooperating MS4 Operators. OR Applicant is a single municipality that has not properly justified why mapping will not be completed as a collaborative
Reasonableness of Cost <i>25 to 0 points possible</i>	25 POINTS: Exceptional value for the cost as it applies to both achieving the project objectives and being a fiscally sound project.	15 POINTS: Cost is good as it applies to both achieving the project objectives and being a fiscally sound project.	5 POINTS: Cost is reasonable as it applies to both achieving the project objectives and being a fiscally sound project.	0 POINTS: Cost is not reasonable for this type of project. Applications that fall into this category will not be funded.

⁵³ Eligible pollutants for MS4 Mapping include phosphorus, nitrogen, ammonia, nutrients, silt/sediment, turbidity, pathogens, fecal coliform, garbage and refuse, oil/grease, or oil & floating substances.

⁵⁴ See Attachment A for specific information the Department has compiled, and is providing, for applicants to identify the percent of DAC populations within NYS municipalities. Additional information on the population and environmental characteristics of DAC areas can be found here: <https://climate.ny.gov/resources/disadvantaged-communities-criteria/>

Experience and Ability <i>10 to 0 points available</i>	10 POINTS: Applicant has shown the ability to complete state-funded MS4 projects in the past 10 years in a timely manner. OR Applicant has sufficiently shown its ability to complete this MS4 project based upon experience with similar MS4 projects.	5 POINTS: Applicant has shown the ability to complete a state funded project that was not related to MS4s in the past 10 years in a timely manner. OR Applicant has not been awarded a state grant in the past 10 years but appears to have the experience and ability to carry out the project.		0 POINTS: Applicant has demonstrated poor management of DEC Division of Water Grants contract(s) in the past 10 years. OR Applicant has not been awarded a DEC Division of Water grant in the past 10 years and does not appear to have the experience and ability to carry out the program. Applications that fall into this category will not be funded.		
Potential Environmental Justice Area (PEJA) and Disadvantaged Communities (DAC) Benefits <i>10 to 0 points possible</i>	10 POINTS: Project improves water quality and is within a PEJA or DAC. OR Project is within the HUC 10 watershed and upstream of a drinking water source that will protect the drinking water quality serving a PEJA or DAC.	5 POINTS: Project improves water quality and is within the HUC 10 watershed and upstream of a PEJA or DAC.		0 POINTS: Project does not improve water quality within a PEJA or DAC. OR Project is not within the HUC 10 watershed and not upstream of a drinking water source that will protect the drinking water quality serving a PEJA or DAC. OR Project does not improve water quality and is not within the HUC 10 watershed and not upstream of a PEJA or DAC.		
Disadvantaged Communities (DAC) (includes financial hardship) <i>10 to 0 points possible</i>	10 POINTS: Applicant is a municipality with a DAC population of 81 – 100%. ⁵⁴ See Attachment A.	8 POINTS: Applicant is a municipality with a DAC population of 61 - 80%.	6 POINTS: Applicant is a municipality with a DAC population of 41 - 60%.	4 POINTS: Applicant is a municipality with a DAC population of 20 - 40%.	2 POINTS: Applicant is a municipality with a DAC population of 1 - 19%.	0 POINTS: Applicant is not a municipality with a DAC population.
Climate Resilience <i>5 to 0 points possible</i>	5 POINTS: Project is located in or serving a certified bronze or silver Climate Smart Community (CSC).		2 POINTS: Project is located in or serving a municipality that is a registered CSC and has completed one or more of the following actions: PE2: Government Operations Greenhouse Gas Inventory; PE3: Fleet Efficiency Policy; PE7: Climate Vulnerability Assessment; PE7: Climate-resilient Hazard Mitigation Plan; PE7:		0 POINTS: The project is not located in or serving a bronze or silver certified CSC or the municipality has not completed any of the identified CSC actions.	

⁵⁴ See Attachment A for specific information the Department has compiled, and is providing, for applicants to identify the percent of DAC populations within NYS municipalities. Additional information on the population and environmental characteristics of DAC areas can be found here: <https://climate.ny.gov/resources/disadvantaged-communities-criteria/>

		Watershed-based Flood Mitigation Plan. ⁵⁵	
Flood Resilience <i>5 to 0 points possible</i>	5 POINTS: Project is within a 0.2% or 1% annual chance of occurrence floodplain AND demonstrates flood resilience that is consistent with the State Flood Risk Management Guidance for Implementation of the Community Risk and Resiliency Act.	3 POINTS: Project is outside a 0.2% or 1% annual chance of occurrence floodplain.	0 POINTS: Project is within a 0.2% or 1% annual chance of occurrence floodplain and does not demonstrate flood resilience.
Total Maximum Points	115 points		

⁵⁵ Descriptions for Climate Smart Community actions can be found at <https://climatesmart.ny.gov/actions-certification/actions>.

MS4 STORMWATER MANAGEMENT PROGRAM (SWMP) PLANNING

REQUIREMENTS FOR ALL SWMP PLANNING

- The SWMP Plan components must be:
 - 1) Created (generated, nothing existing in any format) in electronic format; or
 - 2) Updated (existing electronic content will be updated).
- Information from the Waterbody Inventory/Priority Waterbodies List (WI/PWL) must be included in the final document.
- Final deliverables must include final SWMP Plan components and final summary report.
- The DEC recommends consulting the MS4 General Permit, GP-0-24-001 for permit requirements and the associated compliance timelines.
- Applications will be considered for proposals on behalf of one MS4 Operator or Soil and Water Conservation District applying on behalf of a single MS4 Operator.

REQUIRED ATTACHMENTS FOR ALL SWMP PLANNING APPLICATIONS

- Completed [NPG Budget Worksheet](#)⁵⁶
- Map(s) with the project area clearly identified. Map must be a 1:24,000 scale USGS or DOT planimetric map, or equivalent to such maps.
- Completed, signed, and dated (must be dated on or after May 18, 2026) [Sexual Harassment Prevention Certification Form](#)⁵⁷
- Completed, signed, and dated (must be dated on or after May 18, 2026) [Executive Order No. 16 Certification Form](#)⁵⁸
- Completed, signed, and dated (must be dated on or after May 18, 2026) [Gender-Based Violence and the Workplace Certification Form](#)⁵⁹
- Completed MS4 SWMP Plan Components Worksheet⁶⁰
- All required attachments must be complete and are due at the time of application through the CFA system. Attachments will not be accepted after the application deadline or outside of the CFA system.

ELIGIBLE COSTS

- Salary and fringe benefits
- Contractual costs
- Travel
- Administrative costs

⁵⁶ NPG Budget Worksheet: https://dec.ny.gov/docs/water_pdf/mgcattb1.pdf

⁵⁷ Sexual Harassment Prevention Certification Form: https://dec.ny.gov/docs/water_pdf/wqipshcert.pdf

⁵⁸ Executive Order No. 16 Certification Form: https://extapps.dec.ny.gov/docs/administration_pdf/eo16certification.pdf

⁵⁹ Gender-Based Violence and the Workplace Certification Form: https://dec.ny.gov/sites/default/files/2026-03/attachg_genderbasedviolenceform.pdf

⁶⁰ MS4 SWMP Plan Components Worksheet can be found online under MS4 SWMP Application Attachments and Resources: <https://dec.ny.gov/get-involved/grant-applications/non-agricultural-nonpoint-source-planning-ms4-mapping-grant>

INELIGIBLE COSTS

- Equipment (e.g., computer)
- Software (e.g., GIS or asset management tool software)
- Development of materials already available through the cooperative stormwater group
- Operating Expenses
- Indirect costs (e.g., space/property rent, utilities, and other office supplies)
- Legal fees
- Costs incurred outside the start and end dates of the contract (no earlier than June 1, 2026)

SWMP PLANNING SCORING CRITERIA

Agency Points				
<i>Up to 115 agency points are available, as defined below. Applications must receive a minimum of 30 agency points to be eligible for funding. Applications that receive 0 points for Reasonableness of Cost or Experience and Ability will not be funded.</i>				
Performance Measures <i>20 to 5 points possible</i>	20 POINTS: Project will impact a watershed that has a DEC-watershed implementation plan (i.e., TMDL, Nine Element Watershed Plan or DEC HABS Action Plan) for a pollutant ⁶¹ that may be present in stormwater.	15 POINTS: Project will impact a WI/PWL segment where best use(s) in the WI/PWL segment fact sheet are assessed as “precluded” or “impaired” for a pollutant that may be present in stormwater;	10 POINTS: Project will impact a WI/PWL segment where best use(s) in the WI/PWL segment fact sheet are assessed as “stressed” or “threatened” for a pollutant that may be present in stormwater.	5 POINTS: Project will impact a WI/PWL segment that is “unassessed” or use(s) are “fully supported” or “impaired,” “precluded,” “stressed,” or “threatened” due to non-stormwater pollutants.
Vision <i>20 to 5 points possible</i>	20 POINTS: The SWMP Plan, inventories, procedures, prioritizations, or high priority municipal facility SWPPPs are maintained in a GIS or an asset management tool.	10 POINTS: The SWMP Plan, inventories, procedures, prioritizations, or high priority municipal facility SWPPPs are partially maintained in a GIS or an asset management tool.		5 POINTS: The SWMP Plan, inventories, procedures, prioritizations, or high priority municipal facility SWPPPs are maintained in an electronic format other than a GIS or an asset management tool.
Project Scope <i>10 to 5 points possible</i>	10 POINTS: Project will create at least six inventories, procedures, prioritizations, assessments, or high priority municipal facility Stormwater Pollution Prevention Plans (SWPPPs).	7 POINTS: Project will create less than six inventories, procedures, prioritizations, assessments, or high priority municipal facility SWPPPs. OR Project will update at least six inventories, procedures, prioritizations, assessments, or high priority municipal facility SWPPPs.		5 POINTS: Project will update less than six inventories, procedures, prioritizations, assessments, or high priority municipal facility SWPPPs.
Reasonableness of Cost <i>25 to 0 points possible</i>	25 POINTS: Exceptional value for the cost as it applies to both achieving the project objectives and being a fiscally sound project.	15 POINTS: Cost is good as it applies to both achieving the project objectives and being a fiscally sound project.	5 POINTS: Cost is reasonable as it applies to both achieving the project objectives and being a fiscally sound project.	0 POINTS: Cost is not reasonable for this type of project. <i>Applications that fall into this category will not be funded.</i>

⁶¹ Eligible pollutants for MS4 Mapping include phosphorus, nitrogen, ammonia, nutrients, silt/sediment, turbidity, pathogens, fecal coliform, garbage and refuse, oil/grease, or oil & floating substances.

Experience and Ability <i>10 to 0 points available</i>	10 POINTS: Applicant has shown the ability to complete state-funded MS4 projects in the past 10 years in a timely manner. OR Applicant has sufficiently shown its ability to complete this MS4 project based upon experience with similar MS4 projects.		5 POINTS: Applicant has shown the ability to complete a state funded project that was not related to MS4s in the past 10 years in a timely manner. OR Applicant has not been awarded a state grant in the past 10 years but appears to have the experience and ability to carry out the project.		0 POINTS: Applicant has demonstrated poor management of DEC Division of Water Grants contract(s) in the past 10 years. OR Applicant has not been awarded a DEC Division of Water grant in the past 10 years and does not appear to have the experience and ability to carry out the program. <i>Applications that fall into this category will not be funded.</i>	
Potential Environmental Justice Area (PEJA) and Disadvantaged Communities (DAC) Benefits <i>10 to 0 points possible</i>	10 POINTS: Project improves water quality and is within a PEJA or DAC. OR Project is within the HUC 10 watershed and upstream of a drinking water source that will protect the drinking water quality serving a PEJA or DAC.		5 POINTS: Project improves water quality and is within the HUC 10 watershed and upstream of a PEJA or DAC.		0 POINTS: Project does not improve water quality within a PEJA or DAC. OR Project is not within the HUC 10 watershed and not upstream of a drinking water source that will protect the drinking water quality serving a PEJA or DAC. OR Project does not improve water quality and is not within the HUC 10 watershed and not upstream of a PEJA or DAC.	
Disadvantaged Communities (DAC) (includes financial hardship) <i>10 to 0 points possible</i>	10 POINTS: Applicant is a municipality with a DAC population of 81 – 100%. ⁶² See Attachment A.	8 POINTS: Applicant is a municipality with a DAC population of 61 - 80%.	6 POINTS: Applicant is a municipality with a DAC population of 41 - 60%.	4 POINTS: Applicant is a municipality with a DAC population of 20 - 40%.	2 POINTS: Applicant is a municipality with a DAC population of 1 - 19%.	0 POINTS: Applicant is not a municipality with a DAC population.
Climate Resilience <i>5 to 0 points possible</i>	5 POINTS: Project is located in or serving a certified bronze or silver Climate Smart Community (CSC).		2 POINTS: Project is located in or serving a municipality that is a registered CSC and has completed one or more of the following actions: PE2: Government Operations Greenhouse Gas Inventory; PE3: Fleet Efficiency Policy; PE7: Climate Vulnerability Assessment; PE7: Climate-resilient Hazard Mitigation Plan; PE7: Watershed-based Flood Mitigation Plan. ⁶³			0 POINTS: The project is not located in or serving a bronze or silver certified CSC or the municipality has not completed any of the identified CSC actions.

⁶² See Attachment A for specific information the Department has compiled, and is providing, for applicants to identify the percent of DAC populations within NYS municipalities. Additional information on the population and environmental characteristics of DAC areas can be found here: <https://climate.ny.gov/resources/disadvantaged-communities-criteria/>

⁶³ Descriptions for Climate Smart Community actions can be found at <https://climatesmart.ny.gov/actions-certification/actions>.

Flood Resilience <i>5 to 0 points possible</i>	5 POINTS: Project is within a 0.2% or 1% annual chance of occurrence floodplain AND demonstrates flood resilience that is consistent with the State Flood Risk Management Guidance for Implementation of the Community Risk and Resiliency Act.	3 POINTS: Project is outside a 0.2% or 1% annual chance of occurrence floodplain.	0 POINTS: Project is within a 0.2% or 1% annual chance of occurrence floodplain and does not demonstrate flood resilience.
Total Maximum Points	115 points		

GRANT OPPORTUNITY GENERAL INFORMATION AND CONDITIONS

THE DEPARTMENT OF ENVIRONMENTAL CONSERVATION RESERVES THE RIGHT TO:

- Award additional and available funding for scored and ranked projects consistent with this grant opportunity.
- Award geographically specific or project specific funding for scored and ranked projects consistent with this grant opportunity.
- Award an agreement for any or all parts of the Program Overview in accordance with the method of award or withdraw the Program Overview at any time at DEC's sole discretion.
- Reduce a grant award from the requested amount if the indicated match is insufficient for the requested amount, or if the requested amount is greater than the defined maximum award amount.
- Reduce an award from the amount requested in the application, should the project budget contain costs considered ineligible under this grant program.
- Award an agreement for any or all parts of the Program Overview in accordance with the method of award or withdraw the Program Overview at any time at DEC's sole discretion.
- Award only one application for funding in the event there are multiple application submissions for a single project or for pieces of a single project.
- Reject any or all applications where the scope of work is the same as or partially overlaps with a project awarded in a previous grant round.
- Award to the next highest scoring application in the event a grantee fails to negotiate a grant contract with DEC within 120 days of email notification of contracting next steps.
- Monitor the progress of all grant awards and withdraw grant funding if the grantee fails to make significant and timely progress on the project or fails to receive the necessary permissions and/or permits for the project.
- Not fund projects that are determined not to be consistent with the Climate

- Leadership and Community Protection Act or its implementing regulations.⁶⁴
- Reject any or all applications in response to the Program Overview at the agency's sole discretion.
 - Deny a change in workplan tasks from the application project description because of fairness to competitive process.
 - Limit all or some funding for a project in the final scored ranked list if the same activities have been awarded funding by another funding source.

ADDITIONAL APPLICATION INFORMATION

PRE-APPLICATION REQUIREMENTS

All applicants must register in the [State Financial System \(SFS\)](https://grantsmanagement.ny.gov/) to be considered eligible to accept this grant opportunity <https://grantsmanagement.ny.gov/>. The applicant name must exactly match the name of the eligible entity registered in SFS. It may not be the name of an individual.

TIE BREAKER

In case of a scoring tie, DEC will look at individual scoring categories or project subtypes to determine the order of projects on the award list. The tie breaker will be determined in the following order by category:

NPS Planning Report: disadvantaged communities, PEJA/DAC benefits, performance measures, vision, reasonableness of cost, climate resilience, flood resilience, experience and ability.

MS4 Mapping: disadvantaged communities, PEJA/DAC benefits, performance measures, vision, mapping status, reasonableness of cost, climate resilience, flood resilience, experience and ability.

SWMP Planning: disadvantaged communities, PEJA/DAC benefits, performance measures, vision, project scope, reasonableness of cost, climate resilience, flood resilience, experience and ability.

If the individual scoring categories do not break the tie, the time the application was received, as noted in the CFA system, will be used as the final tie breaker.

DEBRIEFING REQUEST

In accordance with section 163 of the NY State Finance Law, the Department must, upon request, provide a debriefing to any unsuccessful offeror that responded to the Program Overview, regarding the reasons that the proposal or bid submitted by the unsuccessful offeror was not selected for an award. An unsuccessful offeror wanting a debriefing must request a debriefing in writing, within fifteen calendar days of receipt of the notice that their proposal did not result in an award. Requests for debriefings after

⁶⁴ The Climate Leadership and Community Protection Act is in Chapter 106 of the laws of 2019.

fifteen calendar days may be denied.

PROTEST PROCEDURE

DEC does not have a formal protest procedure, therefore an applicant may file an initial protest with OSC's Bureau of Contracts (BOC) after the Department has made a contract award. The protest must be in writing and filed with BOC within ten business days of notice of the contract award or if a debriefing has been requested by the interested party, within five business days of the debriefing (whichever is later). If the interested party is not provided with notice of the contract award, the interested party may file a protest with BOC at any time after the contract award and prior to the Comptroller's final action on the contract. Formal protests concerning a pending contract award must be received within five (5) business days after the protesting party knows or should have known of the facts that constitute the basis of the formal protest.

The protest must be filed with:

Bureau Director

at bidprotests@osc.ny.gov or Bureau of Contracts

New York State Office of the State Comptroller 110 State Street, 11th Floor
Albany, NY 12236.

SEXUAL HARASSMENT PREVENTION CERTIFICATION

State Finance Law §139-l requires all applicants of grant funding to certify that they have a written policy addressing sexual harassment prevention in the workplace and provide annual sexual harassment training (that meets the Department of Labor's model policy and training standards) to all its employees.

Where applying for grant funding is required pursuant to statute, rule or regulation, every application submitted to the state or any public department or agency of the state must contain the following statement: "By submission of this application, each applicant and each person signing on behalf of the applicant certifies, and in the case of a partnering application each party thereto certifies as to its own organization, under penalty of perjury, that the applicant has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of section two hundred one g of the labor law."

Applications that do not contain the certification will not be considered for award; provided however, that if the applicant cannot make the certification, the applicant may provide a signed statement with their application detailing the reasons why the certification cannot be made. After review and consideration of such statement, the Department may reject the bid or may decide that there are sufficient reasons to accept the bid without such certification.

Applicants are required to sign and upload the Sexual Harassment Prevention Certification form or upload a signed statement with their application detailing the reasons why the certification cannot be made. Certification form or non-certification

statement must be signed and dated after May 18, 2026 by staff with official capacity within the Municipality.

EXECUTIVE ORDER 16

Executive Order No. 16 provides that “all Affected State Entities are directed to refrain from entering into any new contract or renewing any existing contract with an entity conducting business operations in Russia.” The complete text of Executive Order No. 16 can be found at <https://www.governor.ny.gov/executive-order/no-16-prohibiting-state-agencies-and-authorities-contracting-businesses-conducting>. The Executive Order remains in effect while sanctions against Russia imposed by the federal government are in effect. Accordingly, applicants who may be excluded from award because of current business operations in Russia are nevertheless encouraged to respond to solicitations to preserve their contracting opportunities in case the sanctions are lifted during a solicitation or even after award in the case of some solicitations. As defined in Executive Order No. 16, an “entity conducting business operations in Russia” means an institution or company, wherever located, conducting any commercial activity in Russia or transacting business with the Russian Government or with commercial entities headquartered in Russia or with their principal place of business in Russia in the form of contracting, sales, purchasing, investment, or any business partnership. Applicants responding to this solicitation are required to complete and submit the form entitled “Certification Under Executive Order No. 16 Prohibiting State Agencies and Authorities from Contracting with Businesses Conducting Business in Russia”.

All applicants shall complete the Executive Order No. 16 Certification form, and submit with their application. Failure to submit will render your application non-responsive, and no award will be made. The certification form must be signed and dated after May 18, 2026 by staff with official capacity within the Municipality.

GENDER-BASED VIOLENCE AND THE WORKPLACE CERTIFICATION

State Finance Law §139-m requires applicants on state procurements to certify that they have a written policy addressing gender-based violence and the workplace and have provided such policy to all of its employees, directors and board members. Such policy shall, at a minimum, meet the requirements of subdivision 11 of section five hundred seventy-five of the executive law.

Where competitive bidding is required pursuant to statute, rule or regulation, for work or services performed or to be performed or goods sold or to be sold, every application made to the state or any public department or agency of the state must contain the following statement:

“By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing gender-based violence and the workplace and has provided such policy to all of its employees, directors and board members. Such policy shall, at a minimum,

meet the requirements of subdivision 11 of section five hundred seventy-five of the executive law.”

Applicants that do not contain the certification will not be considered for award; provided however, that if the applicant cannot make the certification, the applicant shall provide a signed statement with their application detailing the reasons why the certification cannot be made. After review and consideration of such statement, the Department may reject the application or may decide that there are sufficient reasons to accept the application without such certification.

Applicants are required to sign and submit the Gender-Based Violence and the Workplace Certification form, (Attachment G). If the applicant cannot make the certification, then a signed statement must be submitted with the application detailing the reasons why the certification cannot be made. The certification form must be signed by an authorized staff of the contractor, and dated after the application start date.

All applicants must complete and submit the Gender-Based Violence and the Workplace Certification form with their application. Failure to submit will render your application non-responsive, and no award will be made. The certification must be signed and dated on or after May 18, 2026 by a staff of the vendor with official capacity.

MULTIPLE APPLICATION SUBMISSIONS

If an applicant chooses to submit multiple applications, please prioritize submit the applications into the CFA system in order in terms of importance. Applications with the earliest timestamp will be considered the highest priority.

CONTRACT TERM

DEC expects the term of each contract to be consistent with the project timeline proposed in the grant application. The initial term of each contract will need to be between June 1, 2026 and May 31, 2029. Time extensions beyond the contract term end date will be determined by DEC based upon written justification from the Contractor. Applicants should not apply if they do not expect their project to be complete by May 31, 2029. All project costs must be incurred between the start and end dates of the contract to be eligible for reimbursement, or to be used as match for the grant.

GRANT PAYMENT

The grant will be disbursed based on the awardee’s progress toward completion of an acceptable report or final deliverable.

Soil and Water Conservation Districts are eligible for a one-time advance payment of up to twenty-five percent of the grant amount for project start-up funding. After the advance payment, all additional payments will be made on a reimbursement basis; however, no additional payments will be made until the SWCD provides documentation showing that all the advance payment was spent on the project.

Municipalities are not eligible for advance payments. All payments to municipalities will be made on a reimbursement basis.

All awardees may apply for reimbursement of eligible project expenses on a calendar quarter basis after the contract between DEC and the grant recipient is executed. All reimbursement requests must be accompanied by a narrative progress report covering the same period as the reimbursement request.

The final reimbursement will be made to the awardee when the report and/or final deliverable is completed and accepted by DEC.

REPORT SUBMITTAL

All reports and findings funded by this grant must be submitted to DEC and acceptable to DEC.

STATE ENVIRONMENTAL QUALITY REVIEW ACT (SEQR) AND PERMITS

The applicant is responsible for assuring that any SEQR determinations, permits, approvals, lands, easements and rights-of way that may be required to carry out the activities of the project are obtained.

LETTERS OF PERMISSION/MUNICIPAL ENDORSEMENT

The applicant must own the property, or obtain an applicable access agreement, for the proposed project site.

- If the property is owned by the applicant – a copy of the current deed.
- If the property owner is a municipality – a resolution by the municipality supporting the project.
- If the property is not owned by the applicant – a formal written agreement between the applicant and landowner which allows the applicant access to the property, and represent the landowner, to accomplish the proposed project.

QUALITY ASSURANCE

Quality assurance applies to all programs/projects that involve the collection, generation or use of environmental data associated with the mapping, modeling, monitoring, and assessment of water quality data intended for use by the New York State Department of Environmental Conservation (DEC) for its regulatory purposes. This includes the design or use of water quality focused environmental technology. Guidance and resources for DEC's quality assurance can be found on DEC's quality assurance webpage at <https://dec.ny.gov/environmental-protection/water/water-quantity/resilient-ny>.

Activities associated with these types of programs/projects, conducted in the field or laboratory, shall be:

1. Performed in accordance with an effective quality system for planning and assessing environmental measurements and tests, and for conducting required quality assurance and quality control procedures to promote and maintain the accuracy and reliability of environmental measurements and test results. An effective Quality System includes a Quality Assurance Project Plan (QAPP) based on guidance provided by the USEPA Standard for Quality Assurance Project Plans (CIO 2105-S-02.1, August 2023) or American National Standard ASQ/ANSI E4:2014: Quality management systems for environmental information and

technology programs—Requirements with guidance for use, approved February 4, 2014.

2. The fulfillment of the data verification, validation, and usability component of QAPP is to be documented in a Data Usability Assessment Report (DUAR) or Modeling Usability Assessment Report (MUAR).
3. Performed by a laboratory certified by the New York State Department of Health (NYSDOH) under the Environmental Laboratory Approval Program (ELAP) pursuant to Section 502 of the Public Health Law. This requirement shall not apply to specific parameters where NYS DOH ELAP has not issued a certificate for the specific parameter.
4. Performed in a manner that ensures all requisite quality control and calibration requirements are met, including field testing, sample collection, preservation, and record-keeping. Basic quality assurance and quality control requirements defined in 40 CFR Part 136.7 shall be followed as well as any specific method requirements.
5. Required to submit environmental monitoring data electronically to US EPA Water Quality Exchange (WQX) following the guidance as set by US EPA's WQX Submission Instructions.
6. Covered under the Publicity clause (article IV.G.) of the State of New York Contract for Grants – Standard Terms and Conditions. Specifically, the Contractor agrees that any work products, including but not limited to, water quality data or environmental information; measured, generated, or developed under this contract shall not be released, published, cited, or shared in draft or final form without prior written authorization from the Department.
7. At a minimum, performed in accordance with water quality standards in 6 NYCRR Part 703 and/or guidance values in Technical and Operational Guidance Series (TOGS) 1.1.1. Projects designed to assess water quality or inform regulatory decisions must measure parameters with applicable water quality standards and/or guidance values.

HISTORIC PRESERVATION REVIEW REQUIREMENTS

For projects that involve properties listed on the State or National Registers of Historic Places, all work undertaken as part of a grant-assisted project must conform to the Secretary of the Interior's Standards and Guidelines for Archaeology and Historic Preservation.

Questions about or proposals for listing on the State or National Register should be directed to the OPRHP National Register Unit at (518) 237-8643. To ensure the public benefit from the investment of state funding, preservation covenants or conservation easements will be conveyed to the State (OPRHP) for all historic property grants.

COASTAL CONSISTENCY REQUIREMENTS

SEQR Type I and Unlisted actions located within the NYS Department of State's coastal zone boundaries must meet the consistency requirements of the Secretary of State's

Coastal Management Program. This consistency determination will be performed by the Department as part of the grant review process. If the proposed project is located within a municipality that has an approved Local Waterfront Revitalization Plan (LWRP), the policies of that LWRP apply.

Projects in other areas will need to be consistent with the State's 44 coastal policies.

The coastal policies can be found at

<https://dos.ny.gov/system/files/documents/2020/02/coastalpolices.pdf>. Applicants are encouraged to determine if their project is located within the coastal zone, and to include in their site plan or sketch map their project's location within the coastal zone boundaries if applicable. Maps of the coastal zone boundaries can be found at <https://dos.ny.gov/coastal-consistency-review>.

WHAT TO EXPECT IF YOU RECEIVE AN AWARD

NOTIFICATION OF AWARD

Applicants selected to receive a grant award will be notified by email and in an official DEC award letter.

IMPORTANT NOTE: By accepting an award, applicant agrees to abide by all New York State Master Contract for Grants terms and conditions. Any changes to the terms and conditions will not be accepted and may affect applicant's award.

STATE OF NEW YORK CONTRACT FOR GRANTS

Applicants selected to receive a grant award are expected to execute a State of New York Contract for Grants within 120 days from the time of their award notification. Failure to submit timely required State of New York Contract For Grants documents could cause a grantee to lose their grant award. Applicants should review and be prepared to comply with all New York State Master Contract for Grants terms and conditions should grant funding be awarded. The State of New York Contract for Grants and attachments include:

- State of New York Contract For Grants Face Page
- State of New York Contract For Grants Standard Terms and Conditions (NYS standard terms and conditions)
- Appendix A – Statewide Terms and Conditions
- Attachment A-1 Agency Specific Terms and Conditions
- Attachment A-2 Program Specific Terms and Conditions
- Attachment B-1 Expenditure Based Budget (project expense categories and detail)
- Attachment C Work Plan (project objectives, tasks and performance measures)
- Attachment D Payment and Reporting Schedule (claims for reimbursement and grant reporting provisions)

IMPORTANT NOTE: Project related costs must be incurred within the term of the State of New York Contract For Grants to be considered eligible for reimbursement or match.

Contract payments will not be approved or processed by the DEC until a State of New York Contract For Grants is fully approved by the DEC, and as applicable the Attorney General and the State Comptroller. All contracts must be approved by the contract start date of which will be determined at the time of an official award.

STATE OF NEW YORK CONTRACT FOR GRANTS REQUIREMENTS

Applicants (referred to as “contractor” following award of grant contract) should be prepared to comply with the following State of New York Contract for Grants requirements:

I. Insurance Requirements

Contractor will be required to carry appropriate insurance as specified in the State of New York Contract for Grants or LOA, Attachment A-2 Program Specific Terms and Conditions, and agree that each project consultant, project contractor and project subcontractor secures and delivers to the contractor appropriate policies of insurance issued by an insurance company licensed to do business in the State of New York. Policies must name the contractor as an additional insured, with appropriate limits, covering contractor’s public liability and property damage insurance, contractor’s contingency liability insurance, “all-risk” insurance and workers compensation/disability benefits coverage for the project.

II. Permit Requirements (if applicable)

Contractors agree to obtain all required permits, including but not limited to, local, state and federal permits prior to the commencement of any project related work. The Contractor agrees that all work performed in relation to the project by the Contractor or its agents, representatives, or contractors will comply with all relevant federal, state and local laws, rules, regulations and standards, zoning and building codes, ordinances, operating certificates for facilities, or licenses for an activity.

III. State Environmental Quality Review Act (SEQR) Documentation

With respect to the project, the Contractor certifies that it has complied and shall continue to comply with all requirements of SEQR. The Contractor agrees to provide all environmental documents as may be required by the DEC. The Contractor has notified, and shall continue to notify, the DEC of all actions proposed for complying with the environmental review requirements imposed by SEQR.

VENDOR RESPONSIBILITY QUESTIONNAIRE

Not-For-Profit contractors, For-Profit subcontractors, and Not-For-Profit subcontractors are subject to a vendor responsibility review by the State to ensure public dollars are being spent appropriately with responsible contractors. A vendor responsibility review may include a contractor and/or subcontractor to present evidence of its continuing legal authority to do business in NYS, integrity, experience, ability, prior performance, and organizational and financial capacity. To enroll in and use the NYS VendRep System, see the VendRep System instructions, or log in at <https://onlineservices.osc.state.ny.us>

IRAN DIVESTMENT ACT

As a result of the Iran Divestment Act of 2012 (Act), Chapter 1 of the 2012 Laws of New York, a new provision has been added to the State Finance Law (SFL), § 165-a,

effective April 12, 2012. By entering into a Contract, the Contractor certifies that it is not on the “Entities Determined To Be Non-Responsive Bidders/Offerers Pursuant to The New York State Iran Divestment Act of 2012” list (“Prohibited Entities List”) posted on the OGS website at: <https://ogs.ny.gov/iran-divestment-act-2012> and further certifies that it will not utilize on such Contract any subcontractor that is identified on the Prohibited Entities List. Additional detail on the Iran Divestment Act can be found in the New York State Master Contract for Grants, Attachment A-1 Program Specific Terms and Conditions.

MINORITY AND WOMEN BUSINESS ENTERPRISES (MWBE) AND EQUAL EMPLOYMENT OPPORTUNITIES (EEO) REQUIREMENTS

The Department is required to implement the provisions of New York State Executive Law Article 15-A and 5 NYCRR Parts 142-144 (“MWBE Regulations”) for all State contracts with a value (1) in excess of \$25,000 for labor, services, equipment, materials, or any combination of the foregoing or (2) in excess of \$100,000 for real property renovations and construction.

Applicants subject to executing a future State of New York Contract for Grants agree, in addition to any other nondiscrimination provision of the State of New York Contract for Grants and at no additional cost to the Department, to fully comply and cooperate with the Department in the implementation of New York State Executive Law Article 15-A. These requirements include Equal Employment Opportunities (EEO) for minority group members and women and contracting opportunities for certified Minority and Women Owned Business Enterprises (MWBEs). Contractor’s demonstration of Good Faith Efforts (GFEs) pursuant to 5 NYCRR §142.8 shall be a part of these requirements. These provisions shall be deemed supplementary to, and not in lieu of, the nondiscrimination provisions required by New York State Executive Law Article 15 (the “Human Rights Law”) or other applicable federal, state or local laws.

Failure to comply with MWBE and EEO requirements may result in a Department finding of non-responsiveness, non-responsibility and/or a breach of contract, leading to the withholding of funds or such other actions, liquidated damages or enforcement proceedings.

Please refer to the New York State Master Contract for Grants- Article IV (J) and Attachment A-1 Agency Specific Terms and Conditions - Article X, to review MWBE and EEO requirements.

- The local government is responsible for designating someone to serve as their Affirmative Action representative. The governing body should make this designation through official means.
A list of certified MWBE enterprises can be obtained via the internet from the NYS Department of Economic Development at <https://ny.newnycontracts.com/FrontEnd/searchcertifieddirectory.asp>
- MWBE reporting for Department of Environmental Conservation contracts must now be completed using the New York State Contract System (NYSCS).
<https://ny.newnycontracts.com/>.
- **All contracts of \$25,000 or more will be assessed for MWBE goals. Contracts**

which meet the established MWBE-EEO thresholds require the Contractor to submit the Utilization Plan prior to the execution of the contract and Monthly Compliance Audits in the NYSCS after the contract is executed. To submit the required MWBE Utilization Plan, log-in to NYSCS and access the Utilization Plans section displayed on the user dashboard. Plans requiring action will be displayed in red.

- All contractors shall complete an Equal Employment Opportunity (EEO) Policy Statement and Staffing Plan form and submit it prior to the execution of the contract.
 - For more information regarding MWBE compliance and reporting guidelines, and to download required forms, please visit <https://dec.ny.gov/about/doing-business/minority-women-owned-business-enterprise-mwbe>.
 - The following MWBE “Fair Share” goals are established as follows:
 - Minority and Women Owned Business Enterprise (MWBE) Overall Participation Goals:
 - Construction/Engineering – up to 30% Commodities – up to 30% Services/Technologies –up to 30%

DEC MWBE Compliance
NYS Department of Environmental Conservation
Bureau of Contract and Grant Development/MWBE Program 625 Broadway, 10th Floor
Albany, New York 12233-5028 Mwbe@dec.ny.gov
Phone: (518) 402-9240
Fax: (518) 402-9023

SERVICE-DISABLED VETERAN-OWNED BUSINESSES (SDVOB) PARTICIPATION REQUIREMENTS

The Department is required to implement the provisions of New York State Veteran’s Law Article 3. The contractor must make Good Faith Efforts to subcontract a goal of 6% of the contract amount to New York State Certified Service-Disabled Veteran-Owned Businesses (SDVOBs), for purposes of providing meaningful participation by SDVOBs.

The contractor is required to complete and submit a SDVOB Utilization Plan detailing how the contractor intends to meet the SDVOB goal. In addition, the contractor must complete and submit quarterly compliance reports detailing the amount spent on SDVOBs in the previous quarter. Please upload all required forms to the Grants Gateway. In addition, all forms and guidance can be located at; <https://ogs.ny.gov/veterans/division-service-disabled-veterans-business-development-compliance-and-reporting> . Please contact the Department’s SDVOB compliance staff with any questions at:

DEC SDVOB Compliance Unit
NYS Department of Environmental Conservation
Bureau of Contract and Grant Development/SDVOB Program 625 Broadway, 10th Floor
Albany, New York 12233-5028 SDVOB@dec.ny.gov
Phone: (518) 402-9240

PROCUREMENT OF CONTRACTORS/SUBCONTRACTORS

Municipalities must comply with General Municipal Law Sections 103 (competitive bidding) and 104-b (procurement policies and procedures). Not-for-profit corporations must follow procurement policies that ensure prudent and economical use of public money. Failure to comply with these requirements could jeopardize full reimbursement of your approved eligible project costs.

AMERICANS WITH DISABILITIES ACT

In the event the monies defined herein are to be used for the development of facilities, outdoor recreation areas, transportation or written or spoken communication with the public, the Contractor shall comply with all requirements for providing access for individuals with disabilities as established by Article 4A of the New York State Public Buildings Law, Americans with Disabilities Act, and relevant sections of the New York State Uniform Fire Prevention and Building Code. Standards for certain Recreation Facilities are found in the 2010 ADA Standards for Accessible Design while others are found in the Architectural Barriers Act Accessibility Guidelines for Outdoor Recreation Areas <https://www.access-board.gov/>

DIESEL EMISSIONS REDUCTION ACT 2006

In 2007, New York State passed legislation establishing the Diesel Emissions Reduction Act 2006 (DERA). This Act amended the Environmental Conservation Law (ECL) by adding Section 19-0323 which requires the use of best available retrofit technology (BART) and ultra-low sulfur diesel fuel (ULSD) for heavy duty vehicles owned or operated by, including on behalf of, state agencies and state or regional public authorities. The Department has promulgated regulations (6 NYCRR Part 248) to provide guidance on provisions of the law. The regulations may be found on the Department's website at <https://dec.ny.gov/regulatory/regulations/chapter-iii>. If applicable, the contractor must comply with the specifications and provisions of ECL Section 19-0323 and 6 NYCRR Part 248, which require the use of BART and ULSD, unless specifically waived by the Department. Qualifications for a waiver under this law are the responsibility of the Contractor.

GRANT OPPORTUNITY PAYMENT AND REPORTING CONDITIONS

ELECTRONIC PAYMENTS

Payment for invoices submitted by the Contractor shall only be rendered electronically unless payment by paper check is expressly authorized by the head of the State Agency, in the sole discretion of the head of such State Agency, due to extenuating circumstances. Such electronic payment shall be made in accordance with OSC's procedures and practices to authorize electronic payments.

GRANT PROGRAM REPORTING

Quarterly Narrative Reports must be submitted in narrative form, no later than 30 days

from the end of the calendar quarter. The reports will summarize how the project progressed toward meeting project objectives and deliverables during the respective quarter. Quarterly reports shall be submitted via email to the Project Manager assigned to the contract.

Quarterly Expenditure Reports, detailed by object of expense as defined in the New York State of New York Contract for Grants Attachment B-1 Expenditure Based Budget, must accompany the quarterly Narrative Reports, no later than 30 days from the end of the calendar quarter. These reports must correlate to subsequent vouchers submitted for payment.

Quarterly State of New York Contract for Grants payment reimbursement requests will be accepted prior to submission of a final closeout reimbursement request. Approved project design, required permits and landowner permissions must be in place to submit a reimbursement request.

Final Report must be submitted and approved by the DEC prior to the release of the final contract payment to the Grantee. The Contractor must submit the Final Report no later than 60 days after the end of the contract period. The Final Report should report on all aspects of the program and detail how the use of grant funds were utilized in achieving the goals set forth in the approved New York State Master Contract for Grants Attachment C Work Plan. Copies of appropriate documents (i.e. inventory and/or management plan) must be submitted and approved by the DEC.

Any project involving volunteer time will be required to report the number of volunteers and the number of volunteer hours in their project quarterly status reports. The total number of volunteers and volunteer hours for the entire project must be reported in the Final Report.

Projects already receiving funds from another NYS assistance grant program are not eligible to receive funding for the same project activities identified in this Program Overview.

SATISFACTORY PROGRESS

Grant recipients are expected to show satisfactory progress with the development of a project workplan and implementation of the project. Satisfactory progress includes, but is not limited to, timely submission of all necessary documents needed for contract execution, such as, required proofs of insurance and an MWBE Utilization Plan. A grantee must also submit timely quarterly payment requests, quarterly narrative reports, quarterly expenditure reports, and a final project summary report. Successful applicants are expected to manage their NPG projects in accordance with the terms and conditions of the State of New York Contract for Grants. Failure to make satisfactory progress or to complete the project to the satisfaction of the State may be deemed an abandonment of the project and may cause the termination of any financial obligation of the State.

CONTRACT TERM

The Department expects the term of each contract to be consistent with the project timeline

proposed in the grant application. The initial term of each contract (other than will need to fall between June 1, 2026 and May 31, 2029. Time extensions beyond the contract term end date will be determined by the Department based upon written justification from the Contractor. All project costs must be incurred between the start and end dates of the contract to be eligible for reimbursement, or to be used as match for the grant.

RETAINAGE

The State reserves the right to withhold up to fifteen percent (15%) of the total amount of the Contract as security for the faithful completion of services or work, as applicable, under the Contract. This amount may be withheld in whole or part from any single payment or combination of payments otherwise due under the Contract. In the event that such withheld funds are insufficient to satisfy Contractor's obligations to the State, the State may pursue all available remedies, including the right to setoff and recoupment.

CONTRACT CLOSE OUT

All obligations must be incurred prior to the end date of the contract. The final claim of the contract term shall be submitted to the State Agency up to ninety (90) calendar days after the contract end date to make final expenditures if the contract is State Funded. However, if the contract is funded, in whole or in part, with Federal funds, the Contractor shall have up to sixty (60) calendar days after the contract end date to make expenditures and submit the claim to the State Agency.

ATTACHMENT A: LIST OF MUNICIPALITIES THAT CONTAIN DISADVANTAGED COMMUNITY (DAC) POPULATIONS

This list of municipalities was created specifically for use with the NPG grant program. Population data is drawn from the 2010 Census data to align with the Climate Justice Working Group final criteria established on March 27, 2023 and are considered current at the time this RFA was published on June 1, 2026. Town population is town-outside-village. Final percentages have been rounded following the standard rounding rule, up if over 0.5 and down if under 0.5. Any municipality not listed below was estimated to have no DAC population.

Name	Type of Municipality	County	DAC Percentage
Alabama	Town	Genesee	100%
Albany	County	Albany	26%
Albany	City	Albany	52%
Albion	Town	Orleans	45%
Albion	Village	Orleans	100%
Allegany	County	Allegany	16%
Amenia	Town	Dutchess	100%
Amity	Town	Allegany	48%
Amsterdam	City	Montgomery	85%
Angelica	Town	Allegany	8%
Angelica	Village	Allegany	1%
Arcadia	Town	Wayne	5%
Ashford	Town	Cattaraugus	1%
Athens	Town	Greene	59%
Athens	Village	Greene	100%
Auburn	City	Cayuga	66%
AuSable	Town	Clinton	69%
Babylon	Town	Suffolk	24%
Baldwinsville	Village	Onondaga	38%
Barker	Village	Niagara	100%
Barre	Town	Orleans	100%
Barton	Town	Tioga	1%
Batavia	City	Genesee	31%
Bath	Town	Steuben	47%
Bath	Village	Steuben	100%

Beacon	City	Dutchess	73%
Beekman	Town	Dutchess	14%
Belmont	Village	Allegany	100%
Benton	Town	Yates	11%
Bethel	Town	Sullivan	44%
Bethlehem	Town	Albany	8%
Binghamton	City	Broome	76%
Bombay	Town	Franklin	100%
Brighton	Town	Monroe	19%
Brockport	Village	Monroe	53%
Brocton	Village	Chautauqua	100%
Brookhaven	Town	Suffolk	12%
Broome	County	Broome	32%
Buchanan	Village	Westchester	100%
Buffalo	City	Erie	71%
Butler	Town	Wayne	85%
Carlton	Town	Orleans	28%
Catskill	Town	Greene	30%
Catskill	Village	Greene	100%
Cattaraugus	County	Cattaraugus	18%
Cayuga	County	Cayuga	28%
Chautauqua	County	Chautauqua	29%
Cheektowaga	Town	Erie	18%
Chemung	County	Chemung	28%
Chenango	County	Chenango	6%
Chester	Town	Orange	10%
Chester	Village	Orange	98%
Chili	Town	Monroe	16%
Clarkstown	Town	Rockland	17%
Clarksville	Town	Allegany	50%
Clifton Springs	Village	Ontario	78%
Clinton	County	Clinton	10%
Clyde	Village	Wayne	100%
Cohoes	City	Albany	60%
Collins	Town	Erie	11%
Colonie	Town	Albany	1%
Columbia	County	Columbia	17%
Conquest	Town	Cayuga	100%
Corfu	Village	Genesee	100%

Cortland	County	Cortland	24%
Cortland	City	Cortland	62%
Cortlandt	Town	Westchester	31%
Coxsackie	Town	Greene	2%
Coxsackie	Village	Greene	99%
Cuba	Town	Allegany	38%
Cuba	Village	Allegany	100%
Deerpark	Town	Orange	100%
Delaware	County	Delaware	12%
DeWitt	Town	Onondaga	29%
Dickinson	Town	Broome	69%
Dover	Town	Dutchess	44%
Dresden	Village	Yates	100%
Dundee	Village	Yates	100%
Dunkirk	City	Chautauqua	100%
Dunkirk	Town	Chautauqua	100%
Dutchess	County	Dutchess	45%
East Fishkill	Town	Dutchess	17%
East Syracuse	Village	Onondaga	100%
Elbridge	Town	Onondaga	59%
Elbridge	Village	Onondaga	100%
Ellenville	Village	Ulster	99%
Ellicottville	Town	Cattaraugus	11%
Elmira	City	Chemung	64%
Elmira	Town	Chemung	1%
Elmira Heights	Village	Chemung	48%
Elmsford	Village	Westchester	83%
Endicott	Village	Broome	68%
Enfield	Town	Tompkins	3%
Erie	County	Erie	25%
Esopus	Town	Ulster	38%
Fallsburg	Town	Sullivan	93%
Farmersville	Town	Cattaraugus	37%
Fishkill	Town	Dutchess	60%
Fishkill	Village	Dutchess	100%
Fonda	Village	Montgomery	100%
Fort Covington	Town	Franklin	100%
Fort Plain	Village	Montgomery	100%
Franklin	County	Franklin	16%

Franklinville	Town	Cattaraugus	37%
Franklinville	Village	Cattaraugus	100%
Freeport	Village	Nassau	72%
Friendship	Town	Allegany	88%
Fulton	County	Fulton	19%
Fulton	City	Oswego	75%
Fultonville	Village	Montgomery	100%
Gaines	Town	Orleans	59%
Galen	Town	Wayne	51%
Gates	Town	Monroe	51%
Geddes	Town	Onondaga	18%
Genesee	County	Genesee	19%
Geneva	City	Ontario	34%
German Flatts	Town	Herkimer	1%
Glen	Town	Montgomery	69%
Glen Cove	City	Nassau	49%
Glens Falls	City	Warren	30%
Gloversville	City	Fulton	43%
Goshen	Town	Orange	53%
Goshen	Village	Orange	53%
Granby	Town	Oswego	100%
Great Valley	Town	Cattaraugus	10%
Greece	Town	Monroe	18%
Greene	County	Greene	30%
Green Island	Village	Albany	100%
Greenport	Town	Columbia	100%
Greenport	Village	Suffolk	100%
Hamlin	Town	Monroe	47%
Hammond	Town	St Lawrence	76%
Hammond	Village	St Lawrence	100%
Hanover	Town	Chautauqua	
Harriman	Village	Orange	100%
Harrison	Village	Westchester	13%
Haverstraw	Town	Rockland	19%
Haverstraw	Village	Rockland	100%
Hempstead	Town	Nassau	10%
Hempstead	Village	Nassau	90%
Henrietta	Town	Monroe	12%
Herkimer	County	Herkimer	13%

Herkimer	Village	Herkimer	26%
Heuvelton	Village	St Lawrence	100%
Highland Falls	Village	Orange	30%
Highlands	Town	Orange	15%
Hillburn	Village	Rockland	100%
Holley	Village	Orleans	60%
Horseheads	Town	Chemung	9%
Horseheads	Village	Chemung	44%
Hudson	City	Columbia	100%
Hudson Falls	Village	Washington	66%
Humphrey	Town	Cattaraugus	8%
Huntington	Town	Suffolk	4%
Hyde Park	Town	Dutchess	84%
Ilion	Village	Herkimer	42%
Irondequoit	Town	Monroe	17%
Islandia	Village	Suffolk	100%
Islip	Town	Suffolk	20%
Ithaca	City	Tompkins	19%
Ithaca	Town	Tompkins	4%
Jamestown	City	Chautauqua	45%
Jefferson	County	Jefferson	13%
Johnson City	Village	Broome	36%
Johnstown	City	Fulton	46%
Jordan	Village	Onondaga	100%
Kingston	City	Ulster	65%
Kingston	Town	Ulster	100%
Kirkwood	Town	Broome	100%
Lackawanna	City	Erie	51%
Liberty	Town	Sullivan	37%
Liberty	Village	Sullivan	100%
Livingston	County	Livingston	5%
Lloyd	Town	Ulster	100%
Lockport	City	Niagara	56%
Lockport	Town	Niagara	36%
Long Beach	City	Nassau	19%
Lyndon	Town	Cattaraugus	10%
Lyndonville	Village	Orleans	100%
Lyons	Town	Wayne	64%
Machias	Town	Cattaraugus	22%

Mamaroneck	Village	Westchester	51%
Manchester	Town	Ontario	49%
Manchester	Village	Ontario	100%
Marlborough	Town	Ulster	45%
Massena	Town	St Lawrence	18%
Massena	Village	St Lawrence	62%
Maybrook	Village	Orange	100%
Medina	Village	Orleans	43%
Menands	Village	Albany	100%
Mentz	Town	Cayuga	46%
Middleport	Village	Niagara	100%
Middletown	City	Orange	88%
Milo	Town	Yates	13%
Minden	Town	Montgomery	46%
Minisink	Town	Orange	86%
Mohawk	Town	Montgomery	79%
Mohawk	Village	Herkimer	100%
Monroe	County	Monroe	32%
Monroe	Town	Orange	4%
Monroe	Village	Orange	14%
Montgomery	County	Montgomery	68%
Montgomery	Town	Orange	39%
Montgomery	Village	Orange	100%
Monticello	Village	Sullivan	100%
Mount Kisco	Village	Westchester	41%
Mount Morris	Village	Livingston	100%
Mount Pleasant	Town	Westchester	5%
Mount Vernon	City	Westchester	89%
Murray	Town	Orleans	58%
Nassau	County	Nassau	17%
Nelliston	Village	Montgomery	100%
Newark	Village	Wayne	99%
Newburgh	Town	Orange	85%
Newburgh	City	Orange	100%
Newfane	Town	Niagara	30%
Newfield	Town	Tompkins	2%
New Paltz	Town	Ulster	20%
New Paltz	Village	Ulster	100%
New Rochelle	City	Westchester	71%

New Windsor	Town	Orange	100%
New York	City	Multiple	49%
Niagara	County	Niagara	43%
Niagara	Town	Niagara	100%
Niagara Falls	City	Niagara	84%
North Hempstead	Town	Nassau	6%
North Tonawanda	City	Niagara	31%
Norwich	City	Chenango	43%
Nyack	Village	Rockland	100%
Ogdensburg	City	St Lawrence	100%
Olean	City	Cattaraugus	25%
Oneida	County	Oneida	24%
Oneonta	City	Otsego	22%
Onondaga	County	Onondaga	31%
Onondaga	Town	Onondaga	10%
Ontario	County	Ontario	13%
Orange	County	Orange	52%
Orangetown	Town	Rockland	8%
Orleans	County	Orleans	62%
Ossining	Village	Westchester	85%
Oswegatchie	Town	St Lawrence	84%
Oswego	County	Oswego	30%
Oswego	City	Oswego	100%
Otsego	County	Otsego	5%
Owego	Village	Tioga	100%
Oyster Bay	Town	Nassau	2%
Palatine	Town	Montgomery	59%
Palatine Bridge	Village	Montgomery	100%
Patchogue	Village	Suffolk	100%
Peekskill	City	Westchester	100%
Pembroke	Town	Genesee	83%
Penfield	Town	Monroe	4%
Plattekill	Town	Ulster	52%
Plattsburgh	City	Clinton	16%
Plattsburgh	Town	Clinton	18%
Pomfret	Town	Chautauqua	22%
Port Byron	Village	Cayuga	100%
Port Chester	Village	Westchester	61%
Port Dickinson	Village	Broome	100%

Porter	Town	Niagara	58%
Port Jervis	City	Orange	100%
Poughkeepsie	Town	Dutchess	64%
Poughkeepsie	City	Dutchess	89%
Pulaski	Village	Oswego	100%
Red Creek	Village	Wayne	100%
Red Hook	Town	Dutchess	17%
Rensselaer	County	Rensselaer	28%
Rensselaer	City	Rensselaer	100%
Riverhead	Town	Suffolk	56%
Rochester	City	Monroe	83%
Rockland	County	Rockland	28%
Rockville Centre	Village	Nassau	22%
Rome	City	Oneida	41%
Root	Town	Montgomery	100%
Rose	Town	Wayne	100%
Rosendale	Town	Ulster	48%
Rossie	Town	St Lawrence	100%
Rotterdam	Town	Schenectady	10%
Salamanca	City	Cattaraugus	94%
Salina	Town	Onondaga	35%
Saugerties	Town	Ulster	30%
Saugerties	Village	Ulster	100%
Savannah	Town	Wayne	100%
Savona	Village	Steuben	100%
Schenectady	County	Schenectady	30%
Schenectady	City	Schenectady	67%
Scio	Town	Allegany	13%
Seneca	County	Seneca	26%
Seneca Falls	Town	Seneca	
Shelby	Town	Orleans	51%
Shortsville	Village	Ontario	100%
Sidney	Town	Delaware	32%
Sidney	Village	Delaware	100%
Sleepy Hollow	Village	Westchester	69%
Sodus	Town	Wayne	68%
Sodus	Village	Wayne	100%
Sodus Point	Village	Wayne	100%
Solvay	Village	Onondaga	39%

Somerset	Town	Niagara	80%
Southampton	Town	Suffolk	22%
South Nyack	Village	Rockland	100%
Spring Valley	Village	Rockland	79%
Starkey	Town	Yates	52%
Steuben	County	Steuben	13%
St Johnsville	Town	Montgomery	34%
St Johnsville	Village	Montgomery	100%
St Lawrence	County	St Lawrence	24%
Stony Point	Town	Rockland	30%
Suffern	Village	Rockland	29%
Suffolk	County	Suffolk	16%
Sullivan	County	Sullivan	45%
Syracuse	City	Onondaga	74%
Tarrytown	Village	Westchester	44%
Thompson	Town	Sullivan	34%
Tioga	County	Tioga	16%
Tivoli	Village	Dutchess	100%
Tompkins	County	Tompkins	6%
Tonawanda	Town	Erie	7%
Tonawanda	City	Erie	15%
Torrey	Town	Yates	67%
Troy	City	Rensselaer	70%
Ulster	County	Ulster	47%
Ulster	Town	Ulster	100%
Union	Town	Broome	5%
Unionville	Village	Orange	100%
Utica	City	Oneida	67%
Valley Stream	Village	Nassau	47%
Van Buren	Town	Onondaga	2%
Walden	Village	Orange	100%
Wallkill	Town	Orange	69%
Wappinger	Town	Dutchess	30%
Wappingers Falls	Village	Dutchess	100%
Warren	County	Warren	7%
Watertown	City	Jefferson	57%
Watervliet	City	Albany	100%
Waverly	Village	Tioga	95%
Wawarsing	Town	Ulster	55%

Wawayanda	Town	Orange	63%
Wayne	County	Wayne	39%
Wayne	Town	Steuben	0%
Westbury	Village	Nassau	29%
Westchester	County	Westchester	44%
Westhampton Beach	Village	Suffolk	100%
West Haverstraw	Village	Rockland	100%
West Seneca	Town	Erie	16%
Westville	Town	Franklin	100%
White Plains	City	Westchester	57%
Wirt	Town	Allegany	16%
Wolcott	Town	Wayne	57%
Wolcott	Village	Wayne	100%
Woodridge	Village	Sullivan	100%
Yates	County	Yates	23%
Yates	Town	Orleans	67%
Yonkers	City	Westchester	79%
Youngstown	Village	Niagara	4%